

**UNITED STATES BANKRUPTCY COURT
CENTRAL DISTRICT OF CALIFORNIA
LOS ANGELES DIVISION**

In re:

Lidia P Kluft,

Debtor.

Case No.: 2:14-bk-27422-TD

CHAPTER 7

**ORDER BARRING STEPHEN ADRIAN
RODRIGUEZ FROM FILING ANY
INVOLUNTARY PETITIONS IN ANY
DIVISION OF THE CENTRAL DISTRICT OF
CALIFORNIA AND REFERRING THIS CASE
FOR CRIMINAL INVESTIGATION**

Date: March 4, 2015

Time: 10:00 a.m.

Courtroom: 1345

The above-captioned case came on for hearing January 21, 2015 and March 4, 2015, on the court's ORDER TO SHOW CAUSE (1) WHY THE INVOLUNTARY PETITION WAS NOT FILED AS AN ABUSE OF THE BANKRUPTCY PROCESS AND SHOULD NOT BE DISMISSED, AND (2) WHY STEPHEN ADRIAN RODRIGUEZ SHOULD NOT BE BARRED FROM FILING ANY FURTHER INVOLUNTARY PETITIONS (the "Order") entered on December 23, 2014.

1 Stephen Adrian Rodriguez (Rodriguez) was required to show cause why he
2 should not be barred from filing any involuntary petitions in the Central District of
3 California. A written response was required to be delivered to chambers not later than
4 5:00 p.m., on January 14, 2015. The initial hearing was held January 21, 2015.
5 Rodriguez failed to file any response. Instead, he appeared at the January 21, 2015
6 hearing and requested a continuance because he had only recently seen the Order and
7 needed more time to respond. The court continued to the hearing to March 4, 2015,
8 based on this request. New response deadlines to the Order were established.
9 Rodriguez was required to file and serve a response to the Order not later than
10 February 25, 2015. Rodriguez failed to file any response. Rodriguez appeared at the
11 March 4, 2015 hearing and requested another continuance. The request for
12 continuation was based on Rodriguez's participation in an election campaign.
13 Rodriguez alleged that he did not have time to respond to the court's order and needed
14 more time. The request for a second continuance and additional time was denied.
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17 The Order was issued based on the court's belief that Rodriguez abused the
18 bankruptcy process by filing at least six involuntary chapter 7 petitions since June 25,
19 2013, as the sole petitioning creditor, in the following cases:
20

21	In re Wendy Tejeda	2:13-bk-26458-RN filed 6/25/13	dismissed 12/9/13
22	In re Wendy Tejeda	2:14-bk-11666-BR filed 1/29/14	dismissed 3/6/14
23	In re Wendy Tejeda	2:14-bk-15765-TD filed 3/26/14	dismissed 6/27/14
24	In re Holly Kaiser	2:14-bk-23567-BB filed 7/16/14	dismissed 7/22/14
	In re Linda Kluft	2:14-bk-27422-TD filed 9/12/14	OSC heard 3/4/15 ¹
	In re Joyce Singh	2:14-bk-27016-TD filed 9/5/14	dismissed 11/21/14

25
26 Rodriguez failed to provide any evidence in any of the above listed cases that
27 proved his claims as a petitioning creditor were valid claims.
28

¹ The involuntary petition will be dismissed after entry of this order.

1 In the Wendy Tejeda 2:13-bk-26458-RN case, the alleged Rodriguez claim
2 sought \$25,000 for professional services. Ex. A. Rodriguez filed a Voluntary Dismissal
3 of Involuntary Chapter 7 claiming the debt was settled. Ex. B. The order dismissing the
4 involuntary petition found that notice of the voluntary dismissal was proper, there was
5 only one petitioning creditor, there was no opposition and that the pending involuntary
6 did not meet the requirements of 11 U.S.C. § 303. Ex. C.

8 In the Wendy Tejeda 2:14-bk-11666-BR case, according to the amended
9 dismissal order entered on March 7, 2014, Rodriguez appeared at an Order to Show
10 Cause (OSC) hearing but failed to file a response. Ex. D. The nature of Rodriguez's
11 claim was an alleged \$20,000 loan. Ex. E. The court made a finding that Rodriguez did
12 not show a basis for his claims and the petition was dismissed.

14 In the Wendy Tejeda 2:14-bk-15765-TD case, Rodriguez appeared at the initial
15 OSC hearing, which was continued at his request, but Rodriguez failed to appear at the
16 continued hearing and never filed a response to the OSC. Rodriguez' petition did not
17 list an amount or nature of his claim. Ex. F. He identified himself as a "friend."
18 Rodriguez produced no written evidence to suggest that Rodriguez had a valid claim.
19 An order dismissing the petition was entered on June 27, 2014. Ex. G.

21 In the Holly Kaiser 2:14-bk-23567-BB case, in the order dismissing the case
22 entered on July 22, 2014, the court found the involuntary petition was incomplete. The
23 petition was dismissed pursuant to LBR 1010-1 because Rodriguez failed to file a
24 summons and proof of service and a notice of status conference. Ex. H. A motion for
25 relief from stay was pending in this case. The nature of Rodriguez's claim was allegedly
26 a \$15,000 personal loan. Ex. I. After the case was dismissed, Rodriguez filed a
27

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1 purported "Stipulation Agreement" regarding an alleged payment arrangement and a
2 Notice of Voluntary Dismissal of Contested Matter.

3 In the instant Lidia Kluft 2:14-bk-27422-TD case, Rodriguez failed to file a
4 response to the OSC, which was due October 29, 2014. The nature of his claim was an
5 alleged \$25,000 personal loan. Ex. J. Rodriguez appeared at the OSC hearing on
6 November 12, 2014 at 10:00 a.m. He stated on the record at the November 12 hearing,
7 as well as the March 4, 2015 hearing, that he filed a promissory note in this case. This
8 statement is disingenuous. No promissory note is reflected as filed on the docket in this
9 case. Rodriguez failed to produce any evidence that a promissory note was filed or that
10 any debt was owed to him by Lidia Kluft.
11

12 In the Joyce Singh 2:14-bk-27016-TD case, filed approximately one week before
13 the Kluft case, there is no corroborating evidence of any debt owed to Rodriguez. The
14 original involuntary petition filed on September 5, 2014 states that the nature of
15 Rodriguez' claim is \$18,000 for alleged legal fees. Ex. K. In the September 9, 2014
16 amended petition, the nature of the claim asserted is stated to be a \$20,000 loan. Ex.
17 L. Because Rodriguez failed to file a response to the court's OSC and failed to appear
18 at the November 19, 2014 OSC hearing, the case was dismissed on November 21,
19 2014.
20

21 It appears from the above history of six failed involuntary bankruptcy petitions,
22 filed between June 2013 and September 2014, that Rodriguez used the involuntary
23 bankruptcy process for improper purposes. The court's review of public records reveals
24 that Rodriguez is a former bankruptcy attorney. Rodriguez was disbarred by the State
25 Bar of California on June 21, 2013. He was previously placed by the State Bar on
26 inactive status on April 29, 2012 and declared not eligible to practice law. See the
27
28

1 attached printout from calbar.org. Ex. M. The Discipline Summary states, "Rodriguez
2 was convicted in 2012 of multiple counts of grand theft." Based on the Notice of
3 Disciplinary Charges filed with the State Bar Court on February 21, 2012, on August 17
4 and 26, 2009, Rodriguez allowed his father, a disbarred attorney, to meet with clients
5 and give legal advice and failed to inform the clients that neither he nor his father were
6 entitled to practice law at that time.² Ex. N.

8 Considering that (1) Rodriguez' father was ineligible to practice law as of May
9 3, 2009, (2) Rodriguez was ineligible to practice law as of April 29, 2012, and (3)
10 Rodriguez changed the nature of his claim in the Singh petition from "Legal Fees" to
11 "loan," it appears that Rodriguez used the bankruptcy process for improper
12 purposes in the Singh case, as well.

14 By signing an involuntary bankruptcy petition, the alleged creditor certifies
15 that the petition is not being presented for any improper purposes, the claims are
16 warranted by existing law, and the allegations have factual support. FRBP
17 9011(b)(1), (2) and (3). Rodriguez repeatedly failed to respond in writing to the
18 court's OSCs, as ordered by the court. He has never provided to the court any
19 requested corroborating documentary evidence to support this claim of any alleged
20

21 ² There are two people named Steven A. Rodriguez listed on the California Bar
22 website. Stephen Allan Rodriguez is Rodriguez' father. Rodriguez' father was
23 disbarred November 26, 2009. He had previously been placed by the State Bar on
24 inactive status and declared not eligible to practice law as of May 3, 2009. According to
25 the State Bar, the father committed 20 acts of professional misconduct. The State Bar
26 Court judge indicated "numerous clients were harmed" due to "gross negligence in
27 supervision of his office staff and trust accounts." The father's office manager was
28 sentenced to 10 years in prison for what the State Bar Court judge called "a course of
criminal conduct involving grand theft, forgery and recording false instruments." The
father failed to maintain client funds in trust, refund unearned fees, supervise his
client trust accounts, perform legal services competently or inform clients of
significant developments in their cases, and he committed acts of moral turpitude by
misappropriating more than \$193,000 in client funds. See Ex. O for details.

1 debt to prove that any debt he asserts exists in any of these cases. None of his
2 petitions discussed herein satisfy the requirements of 11 U.S.C. § 303.

3 Rodriguez signed the involuntary petition against Lidia Kluft, under penalty of
4 perjury, alleging that the name, address and amount of his alleged claim was true
5 and correct, according to the best of his knowledge, information, and belief. By
6 signing the petition, Rodriguez certified that the petition was not being presented for
7 any improper purpose, that the claims are warranted by existing law, and that the
8 allegations have factual support. FRBP 9011(b)(1), (2) and (3).
9

10 Having considered that Rodriguez failed to file any response or supporting
11 documentation for the alleged debt, as required by the Order,
12

13 IT IS HEREBY ORDERED THAT Stephen Adrian Rodriguez is hereby barred
14 from filing any involuntary bankruptcy petitions in any division of the Central District of
15 California. It appears that Rodriguez filed multiple involuntary petitions as part of a
16 scam and as an abuse of the bankruptcy process.

17 IT IS FURTHER ORDERED THAT this case is referred to the Office of the United
18 States Trustee, the United States Attorney, the Los Angeles District Attorney, and the
19 State Bar of California for possible criminal investigation.
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21 IT IS SO ORDERED.
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24 Date: March 24, 2015

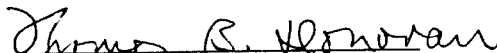

25 Thomas B. Donovan
26 United States Bankruptcy Judge
27
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EXHIBIT A

B 5 (Official Form 5) (12/07)

UNITED STATES BANKRUPTCY COURT		INVOLUNTARY PETITION
IN RE (Name of Debtor - If Individual: Last, First, Middle) Tejeda Weardy		ALL OTHER NAMES used by debtor in the last 8 years (Include married, maiden, and trade names.)
Last four digits of Social-Security or other Individual's Tax-I.D. No./Complete EIN (If more than one, state all.): 8478		
STREET ADDRESS OF DEBTOR (No. and street, city, state, and zip code) 10105 Dorothy Ave South Gate, CA. 90280		MAILING ADDRESS OF DEBTOR (If different from street address)
COUNTY OF RESIDENCE OR PRINCIPAL PLACE OF BUSINESS Los Angeles		ZIP CODE 90280
LOCATION OF PRINCIPAL ASSETS OF BUSINESS DEBTOR (If different from previously listed addresses)		
CHAPTER OF BANKRUPTCY CODE UNDER WHICH PETITION IS FILED <input checked="" type="checkbox"/> Chapter 7 <input type="checkbox"/> Chapter 11		
INFORMATION REGARDING DEBTOR (Check applicable boxes)		
Nature of Debts (Check one box.) Petitioners believe: <input checked="" type="checkbox"/> Debts are primarily consumer debts <input type="checkbox"/> Debts are primarily business debts	Type of Debtor (Form of Organization) <input checked="" type="checkbox"/> Individual (Includes Joint Debtor) <input type="checkbox"/> Corporation (Includes LLC and LLP) <input type="checkbox"/> Partnership <input type="checkbox"/> Other (If debtor is not one of the above entities, check this box and state type of entity below.)	Nature of Business (Check one box.) <input type="checkbox"/> Health Care Business <input type="checkbox"/> Single Asset Real Estate as defined in 11 U.S.C. § 101(51)(B) <input type="checkbox"/> Railroad <input type="checkbox"/> Stockbroker <input type="checkbox"/> Commodity Broker <input type="checkbox"/> Clearing Bank <input type="checkbox"/> Other
VENUE <input checked="" type="checkbox"/> Debtor has been domiciled or has had a residence, principal place of business, or principal assets in the District for 180 days immediately preceding the date of this petition or for a longer part of such 180 days than in any other District. <input type="checkbox"/> A bankruptcy case concerning debtor's affiliate, general partner or partnership is pending in this District.		FILING FEE (Check one box) <input type="checkbox"/> Full Filing Fee attached <input type="checkbox"/> Petitioner is a child support creditor or its representative, and the form specified in § 304(g) of the Bankruptcy Reform Act of 1994 is attached. [If a child support creditor or its representative is a petitioner, and if the petitioner files the form specified in § 304(g) of the Bankruptcy Reform Act of 1994, no fee is required.]
PENDING BANKRUPTCY CASE FILED BY OR AGAINST ANY PARTNER OR AFFILIATE OF THIS DEBTOR (Report information for any additional cases on attached sheets.)		
Name of Debtor	Case Number	Date
Relationship	District	Judge
ALLEGATIONS (Check applicable boxes) 1. <input checked="" type="checkbox"/> Petitioner (s) are eligible to file this petition pursuant to 11 U.S.C. § 303 (b). 2. <input type="checkbox"/> The debtor is a person against whom an order for relief may be entered under title 11 of the United States Code. 3. a. <input type="checkbox"/> The debtor is generally not paying such debtor's debts as they become due, unless such debts are the subject of a bona fide dispute as to liability or amount; or b. <input type="checkbox"/> Within 120 days preceding the filing of this petition, a custodian, other than a trustee receiver, or agent appointed or authorized to take charge of less than substantially all of the property of the debtor for the purpose of enforcing a lien against such property, was appointed or took possession.		COURT USE ONLY FILED JUN 25 2013 CLERK U.S. BANKRUPTCY COURT CENTRAL DISTRICT OF CALIFORNIA BY: Deputy Clerk

B 5 (Official Form 5) (12/07) - Page 2

Name of Debtor _____

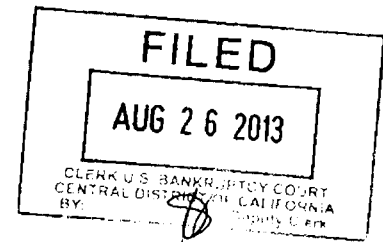
Case No. _____

TRANSFER OF CLAIM		
<input type="checkbox"/> Check this box if there has been a transfer of any claim against the debtor by or to any petitioner. Attach all documents that evidence the transfer and any statements that are required under Bankruptcy Rule 1003(a).		
REQUEST FOR RELIEF		
Petitioner(s) request that an order for relief be entered against the debtor under the chapter of title 11, United States Code, specified in this petition. If any petitioner is a foreign representative appointed in a foreign proceeding, a certified copy of the order of the court granting recognition is attached.		
Petitioner(s) declare under penalty of perjury that the foregoing is true and correct according to the best of their knowledge, information, and belief.		
<div style="display: flex; justify-content: space-between;"> <div style="width: 80%;"> x <u>Stephan Rodriguez</u> Signature of Petitioner or Representative (State title) <u>Stephan Rodriguez</u> Name of Petitioner </div> <div style="width: 15%; text-align: center;"> <u>CBO</u> <u>6/25/13</u> Date Signed </div> </div> <div style="margin-top: 10px;"> Name & Mailing Address of Individual Signing in Representative Capacity <u>Stephan Rodriguez</u> <u>1181 S. Los Robles</u> <u>Pasadena, Ca 91106</u> </div>	<div style="display: flex; justify-content: space-between;"> <div style="width: 80%;"> x _____ Signature of Attorney Name of Attorney Firm (If any) Address Telephone No. </div> <div style="width: 15%; text-align: center;"> _____ Date </div> </div>	
<div style="display: flex; justify-content: space-between;"> <div style="width: 80%;"> x _____ Signature of Petitioner or Representative (State title) Name of Petitioner Date Signed Name & Mailing Address of Individual Signing in Representative Capacity </div> <div style="width: 15%; text-align: center;"> _____ _____ _____ </div> </div>	<div style="display: flex; justify-content: space-between;"> <div style="width: 80%;"> x _____ Signature of Attorney Name of Attorney Firm (If any) Address Telephone No. </div> <div style="width: 15%; text-align: center;"> _____ _____ _____ </div> </div>	
<div style="display: flex; justify-content: space-between;"> <div style="width: 80%;"> x _____ Signature of Petitioner or Representative (State title) Name of Petitioner Date Signed Name & Mailing Address of Individual Signing in Representative Capacity </div> <div style="width: 15%; text-align: center;"> _____ _____ _____ </div> </div>	<div style="display: flex; justify-content: space-between;"> <div style="width: 80%;"> x _____ Signature of Attorney Name of Attorney Firm (If any) Address Telephone No. </div> <div style="width: 15%; text-align: center;"> _____ _____ _____ </div> </div>	
PETITIONING CREDITORS		
Name and Address of Petitioner <u>Rodriguez & Associates 1181 S. Los Robles, Pasadena, Ca 91106</u>	Nature of Claim <u>Professional services</u>	Amount of Claim <u>25,000.00</u>
Name and Address of Petitioner _____	Nature of Claim _____	Amount of Claim _____
Name and Address of Petitioner _____	Nature of Claim _____	Amount of Claim _____
Note: If there are more than three petitioners, attach additional sheets with the statement under penalty of perjury, each petitioner's signature under the statement and the name of attorney and petitioning creditor information in the format above.		Total Amount of Petitioners' Claims _____

_____ continuation sheets attached

EXHIBIT B

Stephen Rodriguez
1181 Los Robles
PASADENA CA 91106
(626) 421-2935



United States Bankruptcy Court
Central District of California
Los Angeles
Judge Richard Norton, Presiding
Courtroom 1645

in re:

Wendy Tejada
Debtor

Voluntary Dismissal
of Involuntary ch 7
BK 2:13-26458 RN

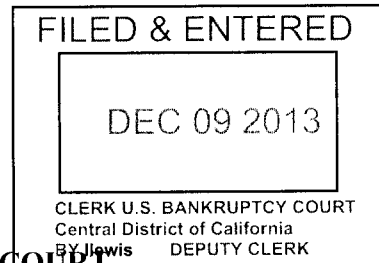
To the Hon. Norton,

Arrangements have been made with
Debtor, Wendy Tejada, to satisfy the
debt owed. This debt has been
settled and no debt is owed.

Therefore, at this time ~~we~~ I am asking
the court for a Voluntary Dismissal
of the ch 7 involuntary Bankruptcy which
I Filed.

Stephen Rodriguez Aug 26, 2013

EXHIBIT C



UNITED STATES BANKRUPTCY COURT
CENTRAL DISTRICT OF CALIFORNIA
LOS ANGELES DIVISION

In re

WENDY TEJEDA,
Debtor.

Case No.: 2:13-bk-26458 RN

Chapter 7 (Involuntary)

**ORDER DISMISSING INVOLUNTARY
CHAPTER 7 CASE**

DATE: November 13, 2013
TIME: 9:00 a.m.
PLACE: Courtroom 1645

On November 13, 2013 at 9:00 a.m., Petitioning Creditor Stephen Rodriguez's (the "Petitioning Creditor") motion for "voluntary dismissal of [the above-referenced] involuntary chapter 7 [case]" (the "Motion") came on for hearing, the Honorable Richard M. Neiter, United States Bankruptcy Judge, presiding. Appearances were as noted on the record.

The Court, having found that notice of the Motion is proper, that Petitioning Creditor is the only petitioning creditor, and that the pending involuntary chapter 7 case does not meet the requirements of 11 U.S.C. § 303, and in light of no opposition having been filed and good cause appearing, it is hereby:

ORDERD that this involuntary chapter

Date: December 9, 2013

A handwritten signature in black ink, appearing to read "Richard M. Neiter", written over a horizontal line.

Richard M. Neiter
United States Bankruptcy Judge

NOTICE OF ENTERED ORDER AND SERVICE LIST

Notice is given by the court that a judgment or order entitled (*specify*) **ORDER DISMISSING INVOLUNTARY CHAPTER 7 CASE** was entered on the date indicated as "Entered" on the first page of this judgment or order and will be served in the manner indicated below:

I. SERVED BY THE COURT VIA NOTICE OF ELECTRONIC FILING (ANEF@) Pursuant to controlling General Order(s) and Local Bankruptcy Rule(s), the foregoing document was served on the following person(s) by the court via NEF and hyperlink to the judgment or order. As of 12/5/2013, the following person(s) are currently on the Electronic Mail Notice List for this bankruptcy case or adversary proceeding to receive NEF transmission at the email address(es) indicated below.

- Philip J Giles ecfcacb@piteduncan.com
- Merdaud Jafarnia bknotice@mccarthyholthus.com, mjafarnia@mccarthyholthus.com
- Jeannette Marsala jmarsala@pralc.com, cmartin@pralc.com
- Adam O Miles ecfcacb@piteduncan.com
- United States Trustee (LA) ustpregion16.la.ecf@usdoj.gov

☐ Service information continued on attached page

II. SERVED BY THE COURT VIA U.S. MAIL: A copy of this notice and a true copy of this judgment or order was sent by United States Mail, first class, postage prepaid, to the following person(s) and/or entity(ies) at the address(es) indicated below:

Wendy Tejeda
10105 Dorothy Ave
South Gate, CA 90280

Stephan Rodriguez
1181 S Los Robles
Pasadena, CA 91106

Recovery Management Systems Corporation
25 SE 2nd Avenue, Suite 1120
Miami, FL 33131-1605

☐ Service information continued on attached page

III. TO BE SERVED BY THE LODGING PARTY: Within 72 hours after receipt of a copy of this judgment or order which bears an AEntered@ stamp, the party lodging the judgment or order will serve a complete copy bearing an AEntered@ stamp by U.S. Mail, overnight mail, facsimile transmission or email and file a proof of service of the entered order on the following person(s) and/or entity(ies) at the address(es), facsimile transmission number(s), and/or email address(es) indicated below:

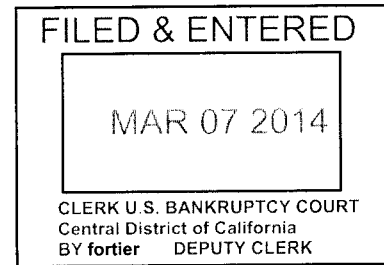
☐ Service information continued on attached page

ADDITIONAL SERVICE INFORMATION (if needed):

All creditors to be served via BNC noticing.

EXHIBIT D

PETER C. ANDERSON
UNITED STATES TRUSTEE
JILL M. STURTEVANT, State Bar No. 089395
ASSISTANT UNITED STATES TRUSTEE
RON MAROKO, State Bar No. 124770
TRIAL ATTORNEY
OFFICE OF THE UNITED STATES TRUSTEE
915 Wilshire Boulevard, Suite 1850
Los Angeles, California 90017-5418
(213) 894-4520 telephone; (213) 894-2603 facsimile
Email: ron.maroko@usdoj.gov



UNITED STATES BANKRUPTCY COURT
CENTRAL DISTRICT OF CALIFORNIA - Los Angeles Division

In re:) Case No.: 2:14-bk-11666-BR
) Chapter 7
Wendy Tejada,) (involuntary case)
)
Alleged Debtor.) **(AMENDED)**
)
) ORDER FOLLOWING SHOW CAUSE
) HEARING; DISMISSING CASE
)
) DATE: March 4, 2014
) TIME: 10:00 am
) CTRM: 1668

The Court's show cause hearing in this involuntary chapter 7 case came on for hearing at the above date and time. Ron Maroko, Esq. appeared on behalf of the United States Trustee. No appearance was made on behalf of the alleged debtor. Petitioning creditor Stephen Rodriguez appeared on his own behalf. No written response was filed by petitioning creditor to the show cause order.

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2 THE COURT ORDERS, finding that petitioning creditors did not show the basis for his
3 claims, as required by the show cause order, and good cause appearing, hereby orders:

4 1. This case is hereby dismissed.

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Date: March 7, 2014



Barry Russell
United States Bankruptcy Judge

EXHIBIT E

B 5 (Official Form 5) (12/07)

UNITED STATES BANKRUPTCY COURT Central District of California		INVOLUNTARY PETITION
IN RE (Name of Debtor - If Individual: Last, First, Middle) Tejeda Wendy		ALL OTHER NAMES used by debtor in the last 8 years (Include married, maiden, and trade names.)
Last four digits of Social Security or other Individual's Tax-I.D. No./Complete EIN (If more than one, state all.): 6476		
STREET ADDRESS OF DEBTOR (No. and street, city, state, and zip code) 10340 Alexander Ave South Gate CA 90240		MAILING ADDRESS OF DEBTOR (If different from street address)
COUNTY OF RESIDENCE OR PRINCIPAL PLACE OF BUSINESS Los Angeles		ZIP CODE 90240
LOCATION OF PRINCIPAL ASSETS OF BUSINESS DEBTOR (If different from previously listed addresses)		
CHAPTER OF BANKRUPTCY CODE UNDER WHICH PETITION IS FILED <input checked="" type="checkbox"/> Chapter 7 <input type="checkbox"/> Chapter 11		
INFORMATION REGARDING DEBTOR (Check applicable boxes)		
Nature of Debts (Check one box.) Petitioners believe: <input checked="" type="checkbox"/> Debts are primarily consumer debts <input type="checkbox"/> Debts are primarily business debts	Type of Debtor (Form of Organization) <input checked="" type="checkbox"/> Individual (Includes Joint Debtor) <input type="checkbox"/> Corporation (Includes LLC and LLP) <input type="checkbox"/> Partnership <input type="checkbox"/> Other (If debtor is not one of the above entities, check this box and state type of entity below.)	Nature of Business (Check one box.) <input type="checkbox"/> Health Care Business <input type="checkbox"/> Single Asset Real Estate as defined in 11 U.S.C. § 101(51)(B) <input type="checkbox"/> Railroad <input type="checkbox"/> Stockbroker <input type="checkbox"/> Commodity Broker <input type="checkbox"/> Clearing Bank <input type="checkbox"/> Other
VENUE <input checked="" type="checkbox"/> Debtor has been domiciled or has had a residence, principal place of business, or principal assets in the District for 180 days immediately preceding the date of this petition or for a longer part of such 180 days than in any other District. <input type="checkbox"/> A bankruptcy case concerning debtor's affiliate, general partner or partnership is pending in this District.	FILING FEE (Check one box) <input checked="" type="checkbox"/> Full Filing Fee attached <input type="checkbox"/> Petitioner is a child support creditor or its representative, and the form specified in § 304(g) of the Bankruptcy Reform Act of 1994 is attached. [If a child support creditor or its representative is a petitioner, and if the petitioner files the form specified in § 304(g) of the Bankruptcy Reform Act of 1994, no fee is required.]	
PENDING BANKRUPTCY CASE FILED BY OR AGAINST ANY PARTNER OR AFFILIATE OF THIS DEBTOR (Report information for any additional cases on attached sheets.)		
Name of Debtor [REDACTED]	Case Number	Date
Relationship [REDACTED]	District	Judge
ALLEGATIONS (Check applicable boxes) 1. <input type="checkbox"/> Petitioner (s) are eligible to file this petition pursuant to 11 U.S.C. § 303 (b). 2. <input type="checkbox"/> The debtor is a person against whom an order for relief may be entered under title 11 of the United States Code. 3. a. <input type="checkbox"/> The debtor is generally not paying such debtor's debts as they become due, unless such debts are the subject of a bona fide dispute as to liability or amount; or b. <input type="checkbox"/> Within 120 days preceding the filing of this petition, a custodian, other than a trustee receiver, or agent appointed or authorized to take charge of less than substantially all of the property of the debtor for the purpose of enforcing a lien against such property, was appointed or took possession.		COURT USE ONLY FILED JAN 29 2014 CLERK U.S. BANKRUPTCY COURT CENTRAL DISTRICT OF CALIFORNIA BY: [Signature] Deputy Clerk

B 5 (Official Form 5) (12/07) – Page 2

Name of Debtor Tejeda Wendy
Case No. _____

TRANSFER OF CLAIM		
<input type="checkbox"/> Check this box if there has been a transfer of any claim against the debtor by or to any petitioner. Attach all documents that evidence the transfer and any statements that are required under Bankruptcy Rule 1003(a).		
REQUEST FOR RELIEF		
Petitioner(s) request that an order for relief be entered against the debtor under the chapter of title 11, United States Code, specified in this petition. If any petitioner is a foreign representative appointed in a foreign proceeding, a certified copy of the order of the court granting recognition is attached.		
Petitioner(s) declare under penalty of perjury that the foregoing is true and correct according to the best of their knowledge, information, and belief.		
x <u>Stephen Rodriguez</u> Signature of Petitioner or Representative (State title) <u>Stephen Rodriguez</u> <u>1/29/2014</u> Name of Petitioner Date Signed	x _____ Signature of Attorney Date _____ Name of Attorney Firm (If any) _____ Address _____ Telephone No.	
Name & Mailing Address of Individual Signing in Representative Capacity <u>1161 Los Robles</u> <u>PASADENA CA 91106</u>		
x _____ Signature of Petitioner or Representative (State title) _____ Name of Petitioner Date Signed _____ Name & Mailing Address of Individual Signing in Representative Capacity	x _____ Signature of Attorney Date _____ Name of Attorney Firm (If any) _____ Address _____ Telephone No.	
x _____ Signature of Petitioner or Representative (State title) _____ Name of Petitioner Date Signed _____ Name & Mailing Address of Individual Signing in Representative Capacity	x _____ Signature of Attorney Date _____ Name of Attorney Firm (If any) _____ Address _____ Telephone No.	
PETITIONING CREDITORS		
Name and Address of Petitioner <u>Stephen Rodriguez 1161 Los Robles Pasadena CA 91106</u>	Nature of Claim <u>Loan</u>	Amount of Claim <u>\$20K</u>
Name and Address of Petitioner	Nature of Claim	Amount of Claim
Name and Address of Petitioner	Nature of Claim	Amount of Claim
Note: If there are more than three petitioners, attach additional sheets with the statement under penalty of perjury, each petitioner's signature under the statement and the name of attorney and petitioning creditor information in the format above.		Total Amount of Petitioners' Claims <u>\$20K</u>

_____ continuation sheets attached

EXHIBIT F

B 5 (Official Form 5) (12/07)

UNITED STATES BANKRUPTCY COURT		INVOLUNTARY PETITION
IN RE (Name of Debtor - If Individual: Last, First, Middle) Tejada, Wendy		ALL OTHER NAMES used by debtor in the last 8 years (Include married, maiden, and trade names.)
Last four digits of Social-Security or other Individual's Tax-I.D. No./Complete EIN (If more than one, state all.): 0500		
STREET ADDRESS OF DEBTOR (No. and street, city, state, and zip code) 10340 Alexander Ave South gate 90280 CA		MAILING ADDRESS OF DEBTOR (If different from street address)
COUNTY OF RESIDENCE OR PRINCIPAL PLACE OF BUSINESS LA County 90280 ZIP CODE		
LOCATION OF PRINCIPAL ASSETS OF BUSINESS DEBTOR (If different from previously listed addresses)		
CHAPTER OF BANKRUPTCY CODE UNDER WHICH PETITION IS FILED <input checked="" type="checkbox"/> Chapter 7 <input type="checkbox"/> Chapter 11		
INFORMATION REGARDING DEBTOR (Check applicable boxes)		
Nature of Debts (Check one box) Petitioners believe: <input checked="" type="checkbox"/> Debts are primarily consumer debts <input type="checkbox"/> Debts are primarily business debts	Type of Debtor (Form of Organization) <input checked="" type="checkbox"/> Individual (Includes Joint Debtor) <input type="checkbox"/> Corporation (Includes LLC and LLP) <input type="checkbox"/> Partnership <input type="checkbox"/> Other (If debtor is not one of the above entities, check this box and state type of entity below.)	Nature of Business (Check one box.) <input type="checkbox"/> Health Care Business <input type="checkbox"/> Single Asset Real Estate as defined in 11 U.S.C. § 101(51)(B) <input type="checkbox"/> Railroad <input type="checkbox"/> Stockbroker <input type="checkbox"/> Commodity Broker <input type="checkbox"/> Clearing Bank <input checked="" type="checkbox"/> Other
VENUE <input checked="" type="checkbox"/> Debtor has been domiciled or has had a residence, principal place of business, or principal assets in the District for 180 days immediately preceding the date of this petition or for a longer part of such 180 days than in any other District. <input type="checkbox"/> A bankruptcy case concerning debtor's affiliate, general partner or partnership is pending in this District.	FILING FEE (Check one box) <input checked="" type="checkbox"/> Full Filing Fee attached <input type="checkbox"/> Petitioner is a child support creditor or its representative, and the form specified in § 304(g) of the Bankruptcy Reform Act of 1994 is attached. [If a child support creditor or its representative is a petitioner, and if the petitioner files the form specified in § 304(g) of the Bankruptcy Reform Act of 1994, no fee is required.]	
PENDING BANKRUPTCY CASE FILED BY OR AGAINST ANY PARTNER OR AFFILIATE OF THIS DEBTOR (Report information for any additional cases on attached sheets.)		
Name of Debtor Wendy Tejada	Case Number	Date 3/26/2014
Relationship Friend	District	Judge
ALLEGATIONS (Check applicable boxes) 1. <input checked="" type="checkbox"/> Petitioner (s) are eligible to file this petition pursuant to 11 U.S.C. § 303 (b). 2. <input type="checkbox"/> The debtor is a person against whom an order for relief may be entered under title 11 of the United States Code. 3. a. <input type="checkbox"/> The debtor is generally not paying such debtor's debts as they become due, unless such debts are the subject of a bona fide dispute as to liability or amount; or b. <input type="checkbox"/> Within 120 days preceding the filing of this petition, a custodian, other than a trustee receiver, or agent appointed or authorized to take charge of less than substantially all of the property of the debtor for the purpose of enforcing a lien against such property, was appointed or took possession.		COURT USE ONLY FILED MAR 26 2014 CLERK U.S. BANKRUPTCY COURT CENTRAL DISTRICT OF CALIFORNIA BY <i>[Signature]</i> Deputy Clerk

B 5 (Official Form 5) (12/07) - Page 2

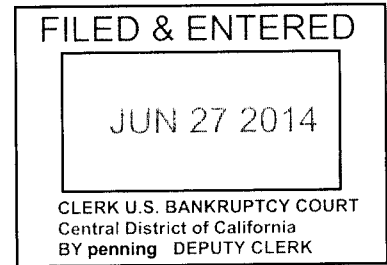
Name of Debtor Wendy Tejeda

Case No. _____

TRANSFER OF CLAIM		
<input type="checkbox"/> Check this box if there has been a transfer of any claim against the debtor by or to any petitioner. Attach all documents that evidence the transfer and any statements that are required under Bankruptcy Rule 1003(a).		
REQUEST FOR RELIEF		
Petitioner(s) request that an order for relief be entered against the debtor under the chapter of title 11, United States Code, specified in this petition. If any petitioner is a foreign representative appointed in a foreign proceeding, a certified copy of the order of the court granting recognition is attached.		
Petitioner(s) declare under penalty of perjury that the foregoing is true and correct according to the best of their knowledge, information, and belief.		
<input checked="" type="checkbox"/> <u>Stephen Rodriguez</u> Signature of Petitioner or Representative (State title) <u>3/26/14</u>	<input checked="" type="checkbox"/> _____ Signature of Attorney _____ Date _____	
Name of Petitioner _____ Name & Mailing _____ Address of Individual _____ Signing in Representative _____ Capacity _____	Name of Attorney Firm (If any) _____ Address _____ Telephone No. _____	
<input type="checkbox"/> _____ Signature of Petitioner or Representative (State title) _____	<input type="checkbox"/> _____ Signature of Attorney _____ Date _____	
Name of Petitioner _____ Name & Mailing _____ Address of Individual _____ Signing in Representative _____ Capacity _____	Name of Attorney Firm (If any) _____ Address _____ Telephone No. _____	
<input type="checkbox"/> _____ Signature of Petitioner or Representative (State title) _____	<input type="checkbox"/> _____ Signature of Attorney _____ Date _____	
Name of Petitioner _____ Name & Mailing _____ Address of Individual _____ Signing in Representative _____ Capacity _____	Name of Attorney Firm (If any) _____ Address _____ Telephone No. _____	
PETITIONING CREDITORS		
Name and Address of Petitioner	Nature of Claim	Amount of Claim
Name and Address of Petitioner	Nature of Claim	Amount of Claim
Name and Address of Petitioner	Nature of Claim	Amount of Claim
Note: If there are more than three petitioners, attach additional sheets with the statement under penalty of perjury, each petitioner's signature under the statement and the name of attorney and petitioning creditor information in the format above.		Total Amount of Petitioners' Claims

_____ continuation sheets attached

EXHIBIT G



**UNITED STATES BANKRUPTCY COURT
CENTRAL DISTRICT OF CALIFORNIA
LOS ANGELES DIVISION**

In re:

Wendy Tejada

Alleged Debtor.

Case No.: 2:14-bk-15765-TD

CHAPTER 7

ORDER DISMISSING CASE

Date: May 8, 2014
Time: 10:00 a.m.
Courtroom: 1345

The above-captioned case came on for hearing April 4, 2014, on the court's ORDER TO SHOW CAUSE WHY THE INVOLUNTARY PETITION SHOULD NOT BE DISMISSED (the "OSC"). Alleged Petitioning Creditor Stephen Rodriguez appeared at the hearing, but did not provide any written evidence of the debt owed to him. The OSC hearing was continued to May 8, 2014, at 10:00 a.m., to allow Mr. Rodriguez to provide evidence to support this involuntary case per 11 U.S.C. § 303. Having considered that the Mr. Rodriguez failed to file a response to the OSC, failed to

1 provide any evidence of the alleged debt owing, and did not appear at the continued
2 hearing, and for other reasons stated on the record at the hearing,

3 IT IS ORDERED that this case is hereby dismissed.
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24 Date: June 27, 2014

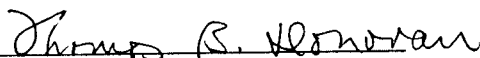
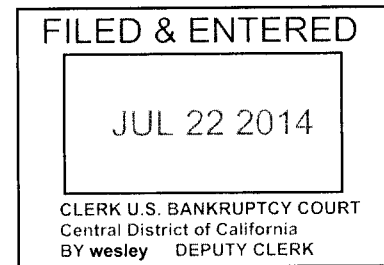

25 Thomas B. Donovan
26 United States Bankruptcy Judge
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EXHIBIT H



**UNITED STATES BANKRUPTCY COURT
CENTRAL DISTRICT OF CALIFORNIA
LOS ANGELES DIVISION**

In re:

Holly Kaiser

Case No.: 2:14-bk-23567-BB

CHAPTER 7

**ORDER DISMISSING INVOLUNTARY
BANKRUPTCY CASE PURSUANT TO LBR
1010-1**

Debtor(s).

[No hearing]

The above-referenced involuntary chapter 7 case was filed on July 16, 2014.

The Court, having found that the involuntary petition is incomplete and that petitioner failed to submit a summons and notice of status conference with the involuntary petition as required by Local Bankruptcy Rule 1010-1,

1 **IT IS HEREBY ORDERED** that this involuntary bankruptcy case is
2 **DISMISSED.**

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Date: July 22, 2014



Sheri Bluebond
United States Bankruptcy Judge

EXHIBIT I

B 5 (Official Form 5) (12/07)

UNITED STATES BANKRUPTCY COURT Central District of California		INVOLUNTARY PETITION
IN RE (Name of Debtor - If Individual: Last, First, Middle) Kaiser Holly		ALL OTHER NAMES used by debtor in the last 8 years (Include married, maiden, and trade names.)
Last four digits of Social Security or other Individual's Tax-I.D. No./Complete EIN (If more than one, state all.) 2814		
STREET ADDRESS OF DEBTOR (No. and street, city, state, and zip code) 2061 BLENKWOOD AVE MONTEREY PARK CA 91754		MAILING ADDRESS OF DEBTOR (If different from street address)
COUNTY OF RESIDENCE OR PRINCIPAL PLACE OF BUSINESS Los Angeles		ZIP CODE 91754
LOCATION OF PRINCIPAL ASSETS OF BUSINESS DEBTOR (If different from previously listed addresses)		
CHAPTER OF BANKRUPTCY CODE UNDER WHICH PETITION IS FILED <input checked="" type="checkbox"/> Chapter 7 <input type="checkbox"/> Chapter 11		
INFORMATION REGARDING DEBTOR (Check applicable boxes)		
Nature of Debts (Check one box.) Petitioners believe: <input checked="" type="checkbox"/> Debts are primarily consumer debts <input type="checkbox"/> Debts are primarily business debts	Type of Debtor (Form of Organization) <input checked="" type="checkbox"/> Individual (Includes Joint Debtor) <input type="checkbox"/> Corporation (Includes LLC and LLP) <input type="checkbox"/> Partnership <input type="checkbox"/> Other (If debtor is not one of the above entities, check this box and state type of entity below.)	Nature of Business (Check one box.) <input type="checkbox"/> Health Care Business <input type="checkbox"/> Single Asset Real Estate as defined in 11 U.S.C. § 101(51)(B) <input type="checkbox"/> Railroad <input type="checkbox"/> Stockbroker <input type="checkbox"/> Commodity Broker <input type="checkbox"/> Clearing Bank <input type="checkbox"/> Other
VENUE <input checked="" type="checkbox"/> Debtor has been domiciled or has had a residence, principal place of business, or principal assets in the District for 180 days immediately preceding the date of this petition or for a longer part of such 180 days than in any other District. <input type="checkbox"/> A bankruptcy case concerning debtor's affiliate, general partner or partnership is pending in this District.	FILING FEE (Check one box) <input checked="" type="checkbox"/> Full Filing Fee attached <input type="checkbox"/> Petitioner is a child support creditor or its representative, and the form specified in § 304(g) of the Bankruptcy Reform Act of 1994 is attached. [If a child support creditor or its representative is a petitioner, and if the petitioner files the form specified in § 304(g) of the Bankruptcy Reform Act of 1994, no fee is required.]	
PENDING BANKRUPTCY CASE FILED BY OR AGAINST ANY PARTNER OR AFFILIATE OF THIS DEBTOR (Report information for any additional cases on attached sheets.)		
Name of Debtor [REDACTED]	Case Number	Date
Relationship [REDACTED]	District	Judge
ALLEGATIONS (Check applicable boxes) 1. <input type="checkbox"/> Petitioner (s) are eligible to file this petition pursuant to 11 U.S.C. § 303 (b). 2. <input type="checkbox"/> The debtor is a person against whom an order for relief may be entered under title 11 of the United States Code. 3. a. <input type="checkbox"/> The debtor is generally not paying such debtor's debts as they become due, unless such debts are the subject of a bona fide dispute as to liability or amount; or b. <input type="checkbox"/> Within 120 days preceding the filing of this petition, a custodian, other than a trustee receiver, or agent appointed or authorized to take charge of less than substantially all of the property of the debtor for the purpose of enforcing a lien against such property, was appointed or took possession.		COURT USE ONLY FILED JUL 16 2014 CLERK U.S. BANKRUPTCY COURT CENTRAL DISTRICT OF CALIFORNIA BY [Signature] Deputy Clerk

B 5 (Official Form 5) (12/07) - Page 2

Name of Debtor Holly Kaiser

Case No. _____

TRANSFER OF CLAIM		
<input type="checkbox"/> Check this box if there has been a transfer of any claim against the debtor by or to any petitioner. Attach all documents that evidence the transfer and any statements that are required under Bankruptcy Rule 1003(a).		
REQUEST FOR RELIEF		
Petitioner(s) request that an order for relief be entered against the debtor under the chapter of title 11, United States Code, specified in this petition. If any petitioner is a foreign representative appointed in a foreign proceeding, a certified copy of the order of the court granting recognition is attached.		
Petitioner(s) declare under penalty of perjury that the foregoing is true and correct according to the best of their knowledge, information, and belief.		
<div style="display: flex; justify-content: space-between;"> <div> x _____ Signature of Petitioner or Representative (State title) <u>Stephen Rodriguez</u> Name of Petitioner </div> <div> <u>7/16/2014</u> Date Signed </div> </div> <div style="margin-top: 10px;"> Name & Mailing Address of Individual Signing in Representative Capacity <u>1181 Los Robles</u> <u>PAS CA 91106</u> </div>	<div style="display: flex; justify-content: space-between;"> <div> x _____ Signature of Attorney </div> <div> _____ Date </div> </div> <div style="margin-top: 5px;"> Name of Attorney Firm (If any) _____ Address _____ Telephone No. _____ </div>	
<div style="display: flex; justify-content: space-between;"> <div> x _____ Signature of Petitioner or Representative (State title) </div> <div> _____ Date Signed </div> </div> <div style="margin-top: 10px;"> Name & Mailing Address of Individual Signing in Representative Capacity </div>	<div style="display: flex; justify-content: space-between;"> <div> x _____ Signature of Attorney </div> <div> _____ Date </div> </div> <div style="margin-top: 5px;"> Name of Attorney Firm (If any) _____ Address _____ Telephone No. _____ </div>	
<div style="display: flex; justify-content: space-between;"> <div> x _____ Signature of Petitioner or Representative (State title) </div> <div> _____ Date Signed </div> </div> <div style="margin-top: 10px;"> Name & Mailing Address of Individual Signing in Representative Capacity </div>	<div style="display: flex; justify-content: space-between;"> <div> x _____ Signature of Attorney </div> <div> _____ Date </div> </div> <div style="margin-top: 5px;"> Name of Attorney Firm (If any) _____ Address _____ Telephone No. _____ </div>	
PETITIONING CREDITORS		
Name and Address of Petitioner <u>Stephen Rodriguez 1181 Los Robles PAS CA 91106</u>	Nature of Claim <u>Personal Loan</u>	Amount of Claim <u>\$15K</u>
Name and Address of Petitioner	Nature of Claim	Amount of Claim
Name and Address of Petitioner	Nature of Claim	Amount of Claim
Note: If there are more than three petitioners, attach additional sheets with the statement under penalty of perjury, each petitioner's signature under the statement and the name of attorney and petitioning creditor information in the format above.		Total Amount of Petitioners' Claims

_____ continuation sheets attached

EXHIBIT J

B 5 (Official Form 5) (12/07)

UNITED STATES BANKRUPTCY COURT		INVOLUNTARY PETITION
Los Angeles		
IN RE (Name of Debtor - If Individual: Last, First, Middle) Kluft Lidia P.		ALL OTHER NAMES used by debtor in the last 8 years (Include married, maiden, and trade names.)
Last four digits of Social-Security or other Individual's Tax-I.D. No./Complete EIN (If more than one, state all.): 8632		
STREET ADDRESS OF DEBTOR (No. and street, city, state, and zip code) 4759 Norwich Ave. Sherman Oaks, CA 2037 EAST 38th STREET VERNON, CA 90058 COUNTY OF RESIDENCE OR PRINCIPAL PLACE OF BUSINESS Los Angeles		MAILING ADDRESS OF DEBTOR (If different from street address) ZIP CODE
ZIP CODE 90058		ZIP CODE 91403
LOCATION OF PRINCIPAL ASSETS OF BUSINESS DEBTOR (If different from previously listed addresses)		
CHAPTER OF BANKRUPTCY CODE UNDER WHICH PETITION IS FILED <input checked="" type="checkbox"/> Chapter 7 <input type="checkbox"/> Chapter 11		
INFORMATION REGARDING DEBTOR (Check applicable boxes)		
Nature of Debts (Check one box.) Petitioners believe: <input checked="" type="checkbox"/> Debts are primarily consumer debts <input type="checkbox"/> Debts are primarily business debts	Type of Debtor (Form of Organization) <input checked="" type="checkbox"/> Individual (Includes Joint Debtor) <input type="checkbox"/> Corporation (Includes LLC and LLP) <input type="checkbox"/> Partnership <input type="checkbox"/> Other (If debtor is not one of the above entities, check this box and state type of entity below.)	Nature of Business (Check one box.) <input type="checkbox"/> Health Care Business <input type="checkbox"/> Single Asset Real Estate as defined in 11 U.S.C. § 101(51)(B) <input type="checkbox"/> Railroad <input type="checkbox"/> Stockbroker <input type="checkbox"/> Commodity Broker <input type="checkbox"/> Clearing Bank <input checked="" type="checkbox"/> Other
VENUE <input checked="" type="checkbox"/> Debtor has been domiciled or has had a residence, principal place of business, or principal assets in the District for 180 days immediately preceding the date of this petition or for a longer part of such 180 days than in any other District. <input type="checkbox"/> A bankruptcy case concerning debtor's affiliate, general partner or partnership is pending in this District.	FILING FEE (Check one box) <input checked="" type="checkbox"/> Full Filing Fee attached <input type="checkbox"/> Petitioner is a child support creditor or its representative, and the form specified in § 304(g) of the Bankruptcy Reform Act of 1994 is attached. [If a child support creditor or its representative is a petitioner, and if the petitioner files the form specified in § 304(g) of the Bankruptcy Reform Act of 1994, no fee is required.]	
PENDING BANKRUPTCY CASE FILED BY OR AGAINST ANY PARTNER OR AFFILIATE OF THIS DEBTOR (Report information for any additional cases on attached sheets.)		
Name of Debtor	Case Number	Date
Relationship	District	Judge
ALLEGATIONS (Check applicable boxes) 1. <input type="checkbox"/> Petitioner (s) are eligible to file this petition pursuant to 11 U.S.C. § 303 (b). 2. <input checked="" type="checkbox"/> The debtor is a person against whom an order for relief may be entered under title 11 of the United States Code. 3. a. <input checked="" type="checkbox"/> The debtor is generally not paying such debtor's debts as they become due, unless such debts are the subject of a bona fide dispute as to liability or amount; or b. <input type="checkbox"/> Within 120 days preceding the filing of this petition, a custodian, other than a trustee receiver, or agent appointed or authorized to take charge of less than substantially all of the property of the debtor for the purpose of enforcing a lien against such property, was appointed or took possession.		COURT USE ONLY FILED SEP 12 2014 CLERK U.S. BANKRUPTCY COURT CENTRAL DISTRICT OF CALIFORNIA BY: <i>[Signature]</i> Deputy Clerk

B 5 (Official Form 5) (12/07) – Page 2

Name of Debtor Kluft Lidia P.

Case No. _____

TRANSFER OF CLAIM		
<input type="checkbox"/> Check this box if there has been a transfer of any claim against the debtor by or to any petitioner. Attach all documents that evidence the transfer and any statements that are required under Bankruptcy Rule 1003(a).		
REQUEST FOR RELIEF		
Petitioner(s) request that an order for relief be entered against the debtor under the chapter of title 11, United States Code, specified in this petition. If any petitioner is a foreign representative appointed in a foreign proceeding, a certified copy of the order of the court granting recognition is attached.		
Petitioner(s) declare under penalty of perjury that the foregoing is true and correct according to the best of their knowledge, information, and belief.		
<div style="display: flex; justify-content: space-between;"> <div> <p>x _____</p> <p>Signature of Petitioner or Representative (State title)</p> <p><u>Stephen A. Rodriguez</u></p> <p>Name of Petitioner _____</p> <p>Name & Mailing _____</p> <p>Address of Individual _____</p> <p>Signing in Representative _____</p> <p>Capacity _____</p> </div> <div> <p>_____</p> <p>Date Signed</p> <p><u>09/12/2014</u></p> <p><u>Stephen Rodriguez</u></p> <p><u>1181 los Robles</u></p> <p><u>Pas. CA 91106</u></p> </div> </div>	<div style="display: flex; justify-content: space-between;"> <div> <p>x _____</p> <p>Signature of Attorney</p> <p>Name of Attorney Firm (If any) _____</p> <p>Address _____</p> <p>Telephone No. _____</p> </div> <div> <p>_____</p> <p>Date</p> </div> </div>	
<div style="display: flex; justify-content: space-between;"> <div> <p>x _____</p> <p>Signature of Petitioner or Representative (State title)</p> <p>Name of Petitioner _____</p> <p>Name & Mailing _____</p> <p>Address of Individual _____</p> <p>Signing in Representative _____</p> <p>Capacity _____</p> </div> <div> <p>_____</p> <p>Date Signed</p> </div> </div>	<div style="display: flex; justify-content: space-between;"> <div> <p>x _____</p> <p>Signature of Attorney</p> <p>Name of Attorney Firm (If any) _____</p> <p>Address _____</p> <p>Telephone No. _____</p> </div> <div> <p>_____</p> <p>Date</p> </div> </div>	
<div style="display: flex; justify-content: space-between;"> <div> <p>x _____</p> <p>Signature of Petitioner or Representative (State title)</p> <p>Name of Petitioner _____</p> <p>Name & Mailing _____</p> <p>Address of Individual _____</p> <p>Signing in Representative _____</p> <p>Capacity _____</p> </div> <div> <p>_____</p> <p>Date Signed</p> </div> </div>	<div style="display: flex; justify-content: space-between;"> <div> <p>x _____</p> <p>Signature of Attorney</p> <p>Name of Attorney Firm (If any) _____</p> <p>Address _____</p> <p>Telephone No. _____</p> </div> <div> <p>_____</p> <p>Date</p> </div> </div>	
PETITIONING CREDITORS		
Name and Address of Petitioner <u>Stephen A. Rodriguez 1181 Los Robles CA, 91106</u>	Nature of Claim <u>personal loan</u>	Amount of Claim <u>25,000.00</u>
Name and Address of Petitioner	Nature of Claim	Amount of Claim
Name and Address of Petitioner	Nature of Claim	Amount of Claim
Note: If there are more than three petitioners, attach additional sheets with the statement under penalty of perjury, each petitioner's signature under the statement and the name of attorney and petitioning creditor information in the format above.		Total Amount of Petitioners' Claims

_____ continuation sheets attached

EXHIBIT K

B 5 (Official Form 5) (12/07)

UNITED STATES BANKRUPTCY COURT		INVOLUNTARY PETITION		
IN RE (Name of Debtor - If Individual: Last, First, Middle) Marilyn Joyce		ALL OTHER NAMES used by debtor in the last 8 years (Include married, maiden, and trade names.)		
Last four digits of Social-Security or other Individual's Tax-I.D. No./Complete EIN (If more than one, state all.): 1973				
STREET ADDRESS OF DEBTOR (No. and street, city, state, and zip code) 970 S. Keniston Ave Los Angeles, Ca 90019		MAILING ADDRESS OF DEBTOR (If different from street address)		
COUNTY OF RESIDENCE OR PRINCIPAL PLACE OF BUSINESS Los Angeles ZIP CODE 90019				
LOCATION OF PRINCIPAL ASSETS OF BUSINESS DEBTOR (If different from previously listed addresses)				
CHAPTER OF BANKRUPTCY CODE UNDER WHICH PETITION IS FILED <input checked="" type="checkbox"/> Chapter 7 <input type="checkbox"/> Chapter 11				
INFORMATION REGARDING DEBTOR (Check applicable boxes)				
Nature of Debts (Check one box.) Petitioners believe: <input type="checkbox"/> Debts are primarily consumer debts <input checked="" type="checkbox"/> Debts are primarily business debts	<table border="0" style="width: 100%;"> <tr> <td style="width: 50%; vertical-align: top;"> Type of Debtor (Form of Organization) <input checked="" type="checkbox"/> Individual (Includes Joint Debtor) <input type="checkbox"/> Corporation (Includes LLC and LLP) <input type="checkbox"/> Partnership <input type="checkbox"/> Other (If debtor is not one of the above entities, check this box and state type of entity below.) </td> <td style="width: 50%; vertical-align: top;"> Nature of Business (Check one box.) <input type="checkbox"/> Health Care Business <input type="checkbox"/> Single Asset Real Estate as defined in 11 U.S.C. § 101(51)(B) <input type="checkbox"/> Railroad <input type="checkbox"/> Stockbroker <input type="checkbox"/> Commodity Broker <input type="checkbox"/> Clearing Bank <input checked="" type="checkbox"/> Other </td> </tr> </table>		Type of Debtor (Form of Organization) <input checked="" type="checkbox"/> Individual (Includes Joint Debtor) <input type="checkbox"/> Corporation (Includes LLC and LLP) <input type="checkbox"/> Partnership <input type="checkbox"/> Other (If debtor is not one of the above entities, check this box and state type of entity below.)	Nature of Business (Check one box.) <input type="checkbox"/> Health Care Business <input type="checkbox"/> Single Asset Real Estate as defined in 11 U.S.C. § 101(51)(B) <input type="checkbox"/> Railroad <input type="checkbox"/> Stockbroker <input type="checkbox"/> Commodity Broker <input type="checkbox"/> Clearing Bank <input checked="" type="checkbox"/> Other
Type of Debtor (Form of Organization) <input checked="" type="checkbox"/> Individual (Includes Joint Debtor) <input type="checkbox"/> Corporation (Includes LLC and LLP) <input type="checkbox"/> Partnership <input type="checkbox"/> Other (If debtor is not one of the above entities, check this box and state type of entity below.)	Nature of Business (Check one box.) <input type="checkbox"/> Health Care Business <input type="checkbox"/> Single Asset Real Estate as defined in 11 U.S.C. § 101(51)(B) <input type="checkbox"/> Railroad <input type="checkbox"/> Stockbroker <input type="checkbox"/> Commodity Broker <input type="checkbox"/> Clearing Bank <input checked="" type="checkbox"/> Other			
VENUE <input checked="" type="checkbox"/> Debtor has been domiciled or has had a residence, principal place of business, or principal assets in the District for 180 days immediately preceding the date of this petition or for a longer part of such 180 days than in any other District. <input type="checkbox"/> A bankruptcy case concerning debtor's affiliate, general partner or partnership is pending in this District.		FILING FEE (Check one box) <input checked="" type="checkbox"/> Filing Fee attached <input type="checkbox"/> Petitioner is a child support creditor or its representative, and the form specified in § 304(g) of the Bankruptcy Reform Act of 1994 is attached. <i>[If a child support creditor or its representative is a petitioner, and if the petitioner files the form specified in § 304(g) of the Bankruptcy Reform Act of 1994, no fee is required.]</i>		
PENDING BANKRUPTCY CASE FILED BY OR AGAINST ANY PARTNER OR AFFILIATE OF THIS DEBTOR (Report information for any additional cases on attached sheets.)				
Name of Debtor	Case Number	Date		
Relationship	District	Judge		
ALLEGATIONS (Check applicable boxes) 1. <input type="checkbox"/> Petitioner (s) are eligible to file this petition pursuant to 11 U.S.C. § 303 (b). 2. <input type="checkbox"/> The debtor is a person against whom an order for relief may be entered under title 11 of the United States Code. 3.a. <input type="checkbox"/> The debtor is generally not paying such debtor's debts as they become due, unless such debts are the subject of a bona fide dispute as to liability or amount; or b. <input type="checkbox"/> Within 120 days preceding the filing of this petition, a custodian, other than a trustee receiver, or agent appointed or authorized to take charge of less than substantially all of the property of the debtor for the purpose of enforcing a lien against such property, was appointed or took possession.		COURT USE ONLY <div style="border: 2px solid black; padding: 10px; text-align: center;"> FILED SEP 05 2014 </div> <div style="text-align: center; font-size: small;"> CLERK U.S. BANKRUPTCY COURT CENTRAL DISTRICT OF CALIFORNIA BY: _____ Deputy Clerk </div>		

B 5 (Official Form 5) (12/07) - Page 2

Name of Debtor Joyce Marilyn

Case No. _____

TRANSFER OF CLAIM

1. Check this box if there has been a transfer of any claim against the debtor by or to any petitioner. Attach all documents that evidence the transfer and any statements that are required under Bankruptcy Rule 1003(a).

REQUEST FOR RELIEF

Petitioner(s) request that an order for relief be entered against the debtor under the chapter of title 11, United States Code, specified in this petition. If any petitioner is a foreign representative appointed in a foreign proceeding, a certified copy of the order of the court granting recognition is attached.

Petitioner(s) declare under penalty of perjury that the foregoing is true and correct according to the best of their knowledge, information, and belief.

x Stephan Rodriguez President
Signature of Petitioner or Representative (State title)

Stephan Rodriguez
Name of Petitioner

Date Signed

Name & Mailing
Address of Individual
Signing in Representative
Capacity

Stephan Rodriguez
1181 Los Robles
Pasadena, CA 91106

x _____
Signature of Attorney Date

Name of Attorney Firm (If any)

Address

Telephone No.

x _____
Signature of Petitioner or Representative (State title)

Name of Petitioner

Date Signed

Name & Mailing
Address of Individual
Signing in Representative
Capacity

x _____
Signature of Attorney Date

Name of Attorney Firm (If any)

Address

Telephone No.

x _____
Signature of Petitioner or Representative (State title)

Name of Petitioner

Date Signed

Name & Mailing
Address of Individual
Signing in Representative
Capacity

x _____
Signature of Attorney Date

Name of Attorney Firm (If any)

Address

Telephone No.

PETITIONING CREDITORS

Name and Address of Petitioner

Rodriguez & Assoc. 1181 Los Robles Pasadena CA 91106

Name and Address of Petitioner

Nature of Claim

Legal Fees

Nature of Claim

Amount of Claim

18,000.00

Amount of Claim

Name and Address of Petitioner

Nature of Claim

Amount of Claim

Note: If there are more than three petitioners, attach additional sheets with the statement under penalty of perjury, each petitioner's signature under the statement and the name of attorney and petitioning creditor information in the format above.

Total Amount of Petitioners' Claims

_____ continuation sheets attached

EXHIBIT L

UNITED STATES BANKRUPTCY COURT
CENTRAL DISTRICT OF CALIFORNIA

Filer's Name: Stephen Rodriguez Atty Name (if applicable): _____
Street Address: 1181 Los Robles CA Bar No. (if applicable): _____
PHS CA 91106 Atty Fax No. (if applicable): _____
Filer's Telephone No.: (626) 421-2935

In re: Joyce Manlio SINGH

Case No.: 14-27016 TD

Chapter 7 X 11 _____ 13 _____

14-27016 TD

AMENDED SCHEDULE(S) AND/OR STATEMENT(S)

A filing fee of \$30.00 is required to amend any or all of Schedules "D" through "F." An addendum mailing list is also required as an attachment if creditors are being added to the creditors list. Is/are creditor(s) being added? Yes _____ No X

Indicate below which schedule(s) and/or statement(s) is(are) being amended.

A _____ B _____ C _____ D _____ E _____ F _____ G _____ H _____ I _____ J _____

Statement of Social Security Number(s) _____ Statement of Financial Affairs _____

Statement of Intention _____

Other X ADD Last Name of Debtor
"SINGH" J.M.S

NOTE: IT IS THE RESPONSIBILITY OF THE DEBTOR TO MAIL COPIES OF ALL AMENDMENTS TO THE TRUSTEE AND TO NOTICE ALL CREDITORS LISTED IN THE AMENDED SCHEDULE(S) AND TO COMPLETE AND FILE WITH THE COURT THE PROOF OF SERVICE ON THE BACK OF THIS PAGE.

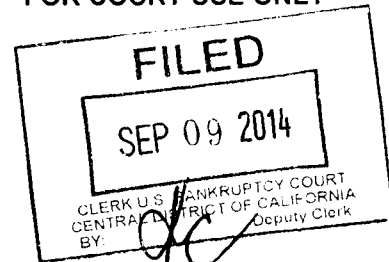
I/We, Stephen Rodriguez, the person(s) who subscribed to the foregoing Amended Schedule(s) and/or Statement(s) do hereby declare under penalty of perjury that the foregoing is true and correct.

DATED: 9/9/2014

[Signature]
Debtor Signature

Co-Debtor Signature

****FOR COURT USE ONLY****




SEE REVERSE SIDE

PROOF OF SERVICE

I hereby certify that a copy of the Amendment(s) was(were) mailed to the Trustee and that notice was given to the additional creditors listed.

DATED: 9/9/14

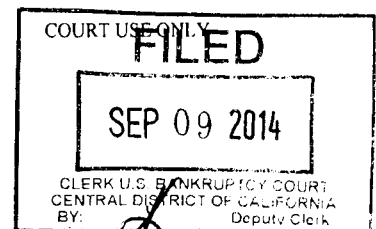
Stephen Rodriguez
Print or Type Name


Signature

(SEE ATTACHED MAILING LIST.)

B 5 (Official Form 5) (12/07)

UNITED STATES BANKRUPTCY COURT Central District of California		INVOLUNTARY PETITION				
IN RE (Name of Debtor – If Individual: Last, First, Middle) <div style="font-size: 1.2em; font-family: cursive;">JOYCE MARILYN STENH</div>		ALL OTHER NAMES used by debtor in the last 8 years (Include married, maiden, and trade names.)				
Last four digits of Social Security or other Individual's Tax-I.D. No./Complete EIN (If more than one, state all): <div style="font-size: 1.2em; font-family: cursive;">7914</div>						
STREET ADDRESS OF DEBTOR (No. and street, city, state, and zip code) <div style="font-size: 1.2em; font-family: cursive;">970 KENESTON AVE. LA, CA 90019.</div>		MAILING ADDRESS OF DEBTOR (If different from street address)				
COUNTY OF RESIDENCE OR PRINCIPAL PLACE OF BUSINESS <div style="text-align: right;">ZIP CODE</div>		<div style="text-align: right;">ZIP CODE</div>				
LOCATION OF PRINCIPAL ASSETS OF BUSINESS DEBTOR (If different from previously listed addresses)						
CHAPTER OF BANKRUPTCY CODE UNDER WHICH PETITION IS FILED <input checked="" type="checkbox"/> Chapter 7 <input type="checkbox"/> Chapter 11						
INFORMATION REGARDING DEBTOR (Check applicable boxes)						
Nature of Debts (Check one box.) Petitioners believe: <input checked="" type="checkbox"/> Debts are primarily consumer debts <input type="checkbox"/> Debts are primarily business debts	<table style="width: 100%; border: none;"> <tr> <th style="text-align: center; border: none;">Type of Debtor (Form of Organization)</th> <th style="text-align: center; border: none;">Nature of Business (Check one box.)</th> </tr> <tr> <td style="border: none; vertical-align: top;"> <input checked="" type="checkbox"/> Individual (Includes Joint Debtor) <input type="checkbox"/> Corporation (Includes LLC and LLP) <input type="checkbox"/> Partnership <input type="checkbox"/> Other (If debtor is not one of the above entities, check this box and state type of entity below.) </td> <td style="border: none; vertical-align: top;"> <input type="checkbox"/> Health Care Business <input type="checkbox"/> Single Asset Real Estate as defined in 11 U.S.C. § 101(51)(B) <input type="checkbox"/> Railroad <input type="checkbox"/> Stockbroker <input type="checkbox"/> Commodity Broker <input type="checkbox"/> Clearing Bank <input checked="" type="checkbox"/> Other </td> </tr> </table>		Type of Debtor (Form of Organization)	Nature of Business (Check one box.)	<input checked="" type="checkbox"/> Individual (Includes Joint Debtor) <input type="checkbox"/> Corporation (Includes LLC and LLP) <input type="checkbox"/> Partnership <input type="checkbox"/> Other (If debtor is not one of the above entities, check this box and state type of entity below.)	<input type="checkbox"/> Health Care Business <input type="checkbox"/> Single Asset Real Estate as defined in 11 U.S.C. § 101(51)(B) <input type="checkbox"/> Railroad <input type="checkbox"/> Stockbroker <input type="checkbox"/> Commodity Broker <input type="checkbox"/> Clearing Bank <input checked="" type="checkbox"/> Other
Type of Debtor (Form of Organization)	Nature of Business (Check one box.)					
<input checked="" type="checkbox"/> Individual (Includes Joint Debtor) <input type="checkbox"/> Corporation (Includes LLC and LLP) <input type="checkbox"/> Partnership <input type="checkbox"/> Other (If debtor is not one of the above entities, check this box and state type of entity below.)	<input type="checkbox"/> Health Care Business <input type="checkbox"/> Single Asset Real Estate as defined in 11 U.S.C. § 101(51)(B) <input type="checkbox"/> Railroad <input type="checkbox"/> Stockbroker <input type="checkbox"/> Commodity Broker <input type="checkbox"/> Clearing Bank <input checked="" type="checkbox"/> Other					
VENUE <input checked="" type="checkbox"/> Debtor has been domiciled or has had a residence, principal place of business, or principal assets in the District for 180 days immediately preceding the date of this petition or for a longer part of such 180 days than in any other District. <input type="checkbox"/> A bankruptcy case concerning debtor's affiliate, general partner or partnership is pending in this District.		FILING FEE (Check one box) <input type="checkbox"/> Full Filing Fee attached <input checked="" type="checkbox"/> Petitioner is a child support creditor or its representative, and the form specified in § 304(g) of the Bankruptcy Reform Act of 1994 is attached. <i>[If a child support creditor or its representative is a petitioner, and if the petitioner files the form specified in § 304(g) of the Bankruptcy Reform Act of 1994, no fee is required.]</i>				
PENDING BANKRUPTCY CASE FILED BY OR AGAINST ANY PARTNER OR AFFILIATE OF THIS DEBTOR (Report information for any additional cases on attached sheets.)						
Name of Debtor <div style="font-size: 1.2em; font-family: cursive;">JOYCE</div>	Case Number	Date				
Relationship	District	Judge				
ALLEGATIONS (Check applicable boxes)						
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> Petitioner (s) are eligible to file this petition pursuant to 11 U.S.C. § 303 (b). 2. <input type="checkbox"/> The debtor is a person against whom an order for relief may be entered under title 11 of the United States Code. 3. a. <input checked="" type="checkbox"/> The debtor is generally not paying such debtor's debts as they become due, unless such debts are the subject of a bona fide dispute as to liability or amount; <div style="text-align: center;">or</div> b. <input type="checkbox"/> Within 120 days preceding the filing of this petition, a custodian, other than a trustee receiver, or agent appointed or authorized to take charge of less than substantially all of the property of the debtor for the purpose of enforcing a lien against such property, was appointed or took possession. 						



B 5 (Official Form 5) (12/07) - Page 2

Name of Debtor SONCE MARILYN SINGH
Case No. 14-27016-LA

TRANSFER OF CLAIM		
<input type="checkbox"/> Check this box if there has been a transfer of any claim against the debtor by or to any petitioner. Attach all documents that evidence the transfer and any statements that are required under Bankruptcy Rule 1003(a).		
REQUEST FOR RELIEF		
Petitioner(s) request that an order for relief be entered against the debtor under the chapter of title 11, United States Code, specified in this petition. If any petitioner is a foreign representative appointed in a foreign proceeding, a certified copy of the order of the court granting recognition is attached.		
Petitioner(s) declare under penalty of perjury that the foregoing is true and correct according to the best of their knowledge, information, and belief.		
<input checked="" type="checkbox"/> <u>Stephan Rodriguez</u> Signature of Petitioner or Representative (State title) <u>Stephan Rodriguez</u> Name of Petitioner <u>9/9/14</u> Date Signed Name & Mailing Address of Individual Signing in Representative Capacity <u>181 Los Robles</u> <u>DAS CA 91106</u>	<input checked="" type="checkbox"/> Signature of Attorney Date Name of Attorney Firm (If any) Address Telephone No.	
<input type="checkbox"/> Signature of Petitioner or Representative (State title) Name of Petitioner Date Signed Name & Mailing Address of Individual Signing in Representative Capacity	<input type="checkbox"/> Signature of Attorney Date Name of Attorney Firm (If any) Address Telephone No.	
<input type="checkbox"/> Signature of Petitioner or Representative (State title) Name of Petitioner Date Signed Name & Mailing Address of Individual Signing in Representative Capacity	<input type="checkbox"/> Signature of Attorney Date Name of Attorney Firm (If any) Address Telephone No.	
PETITIONING CREDITORS		
Name and Address of Petitioner <u>Stephan Rodriguez</u> <u>181 Los Robles</u> <u>DAS CA 91106</u>	Nature of Claim <u>Loan</u>	Amount of Claim <u>\$20K</u>
Name and Address of Petitioner	Nature of Claim	Amount of Claim
Name and Address of Petitioner	Nature of Claim	Amount of Claim
Note: If there are more than three petitioners, attach additional sheets with the statement under penalty of perjury, each petitioner's signature under the statement and the name of attorney and petitioning creditor information in the format above.		Total Amount of Petitioners' Claims

_____ continuation sheets attached

EXHIBIT M

Monday, March 9, 2015

THE STATE BAR OF CALIFORNIA

ATTORNEY SEARCH

Stephen Adrian Rodriguez - #219019

Current Status: Disbarred

This member is prohibited from practicing law in California by order of the California Supreme Court.

See below for more details.

Profile Information

The following information is from the official records of The State Bar of California.

Bar Number:	219019		
Address:	1181 S Los Robles Ave Pasadena, CA 91106	Phone Number:	Not Available
		Fax Number:	Not Available
		e-mail:	lawrodrig@aol.com
County:	Los Angeles	Undergraduate School:	Univ of California Berkeley; Berkeley CA
District:	District 2		
Sections:	None	Law School:	Univ of West Los Angeles; Los Angeles CA

Status History

Effective Date	Status Change
Present	Disbarred
6/21/2013	Disbarred
7/25/2010	Not Eligible To Practice Law
12/15/2009	Active
8/3/2009	Not Eligible To Practice Law
2/8/2002	Admitted to The State Bar of California

Explanation of member status

Actions Affecting Eligibility to Practice Law

Effective Date	Description	Case Number	Resulting Status
Disciplinary and Related Actions			

Overview of the attorney discipline system.

6/21/2013	Disbarment	10-C-09179	Disbarred
5/4/2012	Interim suspension after conviction	10-C-09179	Not Eligible To Practice Law
4/29/2012	Ordered inactive	09-O-18767	Not Eligible To Practice Law
2/21/2012	Notice of Disc Charges Filed in SBCt	09-O-18767	
7/25/2010	Actual Suspension Delayed	06-C-13026	Not Eligible To Practice Law

7/4/2010	Probation with conditions	06-C-13026	
8/3/2009	Suspended, failed to pass Prof.Resp.Exam	07-H-10071	Not Eligible To Practice Law
3/22/2008	Discipline, probation; no actual susp.	07-H-10071	
12/9/2005	Public reproof	05-O-00098	

Administrative Actions

9/1/2010	Suspended, failed to pay Bar membr. fees	Not Eligible To Practice Law
----------	--	------------------------------

Copies of official attorney discipline records are available upon request.

Explanation of common actions

State Bar Court Cases

NOTE: The State Bar Court began posting public discipline documents online in 2005. The format and pagination of documents posted on this site may vary from the originals in the case file as a result of their translation from the original format into Word and PDF. Copies of additional related documents in a case are available upon request. Only Opinions designated for publication in the State Bar Court Reporter may be cited or relied on as precedent in State Bar Court proceedings. For further information about a case that is displayed here, please refer to the State Bar Court's online docket, which can be found at: <http://apps.statebarcourt.ca.gov/dockets/dockets.aspx>

DISCLAIMER: Any posted Notice of Disciplinary Charges, Conviction Transmittal or other initiating document, contains only allegations of professional misconduct. The attorney is presumed to be innocent of any misconduct warranting discipline until the charges have been proven.

Effective Date	Case Number	Description
6/21/2013	10-C-9179	Decision [PDF]
5/4/2012	10-C-09179	Interim Suspension Order [PDF]
7/16/2010	06-C-13026	Order [PDF]
7/4/2010	06-C-13026	Stipulation [PDF] [HTML]
7/2/2010	06-C-13026	Order Temp Stay [PDF]
12/15/2009	07-H-10071	Order [PDF]
8/3/2009	07-H-10071	Order [PDF]
3/22/2008	07-H-10071	Stipulation [PDF] [HTML]
Pending	09-O-18767	Initiating Document [PDF]

California Bar Journal Discipline Summaries

Summaries from the California Bar Journal are based on discipline orders but are not the official records. Not all discipline actions have associated CBJ summaries. Copies of official attorney discipline records are available upon request.

June 21, 2013

STEPHAN ADRIAN RODRIGUEZ [#219019], 41, of Pasadena, was summarily disbarred June 21, 2013 and was ordered to comply with rule 9.20 of the California Rules of Court.

Rodriguez was convicted in 2012 of multiple counts of grand theft.

May 4, 2012

STEPHEN ADRIAN RODRIGUEZ [#219019], 40, of Pasadena was placed on interim suspension May 4, 2012, following convictions for the unauthorized practice of law, conspiracy to commit capping and grand theft. He was ordered to comply with rule 9.20 of the California Rules of Court.

March 22, 2008

STEPHEN A. RODRIGUEZ [#219019], 36, of Los Angeles was suspended for six months, stayed, placed on one year of probation and was ordered to take the MPRE within one year. The order took effect March 22, 2008.

Rodriguez stipulated that he failed to comply with conditions attached to a public reproof by not submitting three quarterly probation reports or proof that he took and passed the MPRE.

The reproof was imposed in 2005 for Rodriguez' failure to competently perform legal services.

In mitigation, he cooperated with the bar's investigation and he demonstrated remorse.

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EXHIBIT N

PUBLIC MATTER

FILED

FEB 21 2012

STATE BAR COURT
CLERK'S OFFICE
LOS ANGELES

STATE BAR OF CALIFORNIA
OFFICE OF THE CHIEF TRIAL COUNSEL
JAYNE KIM, No. 174614
ACTING CHIEF TRIAL COUNSEL
PATSY J. COBB, No. 107793
DEPUTY CHIEF TRIAL COUNSEL
JOSEPH R. CARLUCCI, No. 172309
ASSISTANT CHIEF TRIAL COUNSEL
AGUSTIN HERNANDEZ, No. 161625
SENIOR TRIAL COUNSEL
1149 South Hill Street
Los Angeles, California 90015-2299
Telephone: (213) 765-1713

STATE BAR COURT

HEARING DEPARTMENT - LOS ANGELES

In the Matter of:

) Case Nos. 09-O-18767
) 10-O-09784

STEPHEN ADRIAN RODRIGUEZ,
No. 219019,

) NOTICE OF DISCIPLINARY CHARGES
)
)

A Member of the State Bar

NOTICE - FAILURE TO RESPOND!

IF YOU FAIL TO FILE A WRITTEN ANSWER TO THIS NOTICE
WITHIN 20 DAYS AFTER SERVICE, OR IF YOU FAIL TO APPEAR AT
THE STATE BAR COURT TRIAL:

- (1) YOUR DEFAULT WILL BE ENTERED;
- (2) YOUR STATUS WILL BE CHANGED TO INACTIVE AND YOU
WILL NOT BE PERMITTED TO PRACTICE LAW;
- (3) YOU WILL NOT BE PERMITTED TO PARTICIPATE FURTHER IN
THESE PROCEEDINGS UNLESS YOU MAKE A TIMELY MOTION
AND THE DEFAULT IS SET ASIDE, AND;
- (4) YOU SHALL BE SUBJECT TO ADDITIONAL DISCIPLINE.
SPECIFICALLY, IF YOU FAIL TO TIMELY MOVE TO SET ASIDE
OR VACATE YOUR DEFAULT, THIS COURT WILL ENTER AN
ORDER RECOMMENDING YOUR DISBARMENT WITHOUT
FURTHER HEARING OR PROCEEDING. SEE RULE 5.80 ET SEQ.,
RULES OF PROCEDURE OF THE STATE BAR OF CALIFORNIA.

The State Bar of California alleges:

kwiktag *

018 043 929



JURISDICTION

1
2 1. STEPHEN ADRIAN RODRIGUEZ ("Respondent") was admitted to the practice of
3 law in the State of California on February 8, 2002, was a member at all times pertinent to these
4 charges, and is currently a member of the State Bar of California.

COUNT ONE

6 Case No. 09-O-18767
7 Rules of Professional Conduct, Rule 1-300(A)
[Aiding the Unauthorized Practice of Law]

8 2. Respondent wilfully violated Rules of Professional Conduct, rule 1-300(A), by aiding
9 a person or entity in the unauthorized practice of law, as follows:

10 3. Effective on or about May 3, 2009, Respondent's father, Stephen Allan Rodriguez
11 ("Rodriguez"), was involuntarily enrolled as an inactive member of the State Bar of California
12 pursuant to Business and Professions Code, section 6007(c)(4). Rodriguez remained enrolled as
13 an inactive member until he was enrolled as a disbarred member by the Supreme Court of
14 California on or about November 26, 2009. Rodriguez has never been readmitted to practice law
15 in California. At all relevant times, Respondent knew or was grossly negligent in not knowing
16 that Rodriguez was involuntarily enrolled as an inactive member. At all relevant times,
17 Respondent employed Rodriguez to perform services at Respondent's law office while
18 Rodriguez was not entitled to practice law.

19 4. On or about August 17, 2009, Jose Mele ("Mele") went to Respondent's office for
20 legal advice. Respondent, however, was not in the office at that time. Mele asked Respondent's
21 employee to call when Respondent was available to meet.

22 5. Thereafter, on or about a date between August 17, 2009, and August 26, 2009,
23 Respondent's employee, "Doris," called Mele and told him that "Mr. Rodriguez" was available
24 to meet with Mele on that day. On that date, Mele went to Respondent's office and met with
25 Rodriguez. During the initial consultation, Mele met and spoke with Rodriguez only.
26 Respondent was not present at any time during this initial consultation. During this consultation,
27 Rodriguez told Mele that Rodriguez had recently retired from the practice of law and that
28 Respondent was handling all of the legal cases. Rodriguez told Mele that Respondent was a

1 knowledgeable and experienced attorney. Mele discussed with Rodriguez that his son-in-law
2 needed protection from a neighbor. After Mele discussed his problems with Rodriguez and
3 answered Rodriguez's questions, Rodriguez advised Mele that his son-in-law should seek a
4 temporary restraining order ("TRO"). During this consultation, Rodriguez told Mele that
5 Respondent would provide all of the necessary legal services for \$1,000 in attorney fees. Mele
6 told Rodriguez that he wanted to meet Respondent before he retained him. On this date,
7 Rodriguez told Mele that he would arrange a meeting with Respondent and gave Mele one of
8 Respondent's business cards which stated, "Law Offices of Stephen A. Rodriguez, Jr." and
9 "Attorney At Law."

10 6. Rodriguez's consultation with Mele, assessment of Mele's son-in-law's legal issues,
11 giving of legal advice and recommendation of a TRO, determination that Respondent would
12 accept Mele's son-in-law as a client, and setting of legal fees, constituted the practice of law.

13 7. By allowing Rodriguez, who was not entitled to practice law, to engage in acts
14 constituting the practice of law, Respondent aided a person or entity in the unauthorized practice
15 of law.

16 COUNT TWO

17 Case No. 09-O-18767

18 Business and Professions Code, section 6068(a)
[Failure to Comply With Laws- Unauthorized Practice of Law]

19 8. Respondent wilfully violated Business and Professions Code, section 6068(a), by
20 advertising or holding himself out as practicing or entitled to practice law or otherwise practicing
21 law when he was not an active member of the State Bar in violation of Business and Professions
22 Code, sections 6125 and 6126, as follows:

23 9. The allegations of Count One are incorporated by reference.

24 10. Effective on or about August 3, 2009, Respondent was placed on not entitled status
25 for failing to pass the Multistate Professional Responsibility Examination ("MPRE").
26 Respondent remained enrolled on not entitled status until December 15, 2009, when he passed
27 the MPRE. At all relevant times, Respondent was aware that he was enrolled on not entitled
28 status effective on August 3, 2009.

1 11. On or about August 26, 2009, when Respondent was not entitled to practice law,
2 Mele went to Respondent's office and met with Respondent and Rodriguez. Respondent and
3 Mele discussed Mele's son-in-law's legal issues. Respondent told Mele that Respondent would
4 represent his son-in-law in the TRO matter for \$1,000 in attorney fees. Based upon their
5 discussion, on this date, Mele agreed to hire Respondent on behalf of his son-in-law. On this
6 date, Mele gave Respondent a check in the amount of \$500 for advanced attorney fees made
7 payable to Respondent. At no time did Respondent tell Mele that he was not entitled to practice
8 law.

9 12. On or about August 26, 2009, after leaving Respondent's office, Mele visited the
10 State Bar of California's website and learned for the first time that neither Respondent nor
11 Rodriguez were entitled to practice law. Thereafter, Mele stopped payment on the \$500 check
12 that he issued to Respondent for the advanced attorney fees.

13 13. On or about August 27, 2009, Mele received two telephone messages from
14 Respondent's office stating that Respondent had prepared all of the necessary documents for the
15 TRO and inquired why Mele had stopped payment on the check.

16 14. Respondent's consultation with Mele, assessment of Mele's son-in-law's legal issues,
17 giving of legal advice and recommendation of a TRO, accepting of Mele's son-in-law as a client,
18 setting of legal fees, and preparation of the TRO documents, constituted the practice of law.

19 15. By engaging in acts constituting the practice of law while he was not entitled to
20 practice law, Respondent held himself out as entitled to practice law and actually practiced law
21 when he was not entitled to do so, in wilful violation of Business and Professions Code sections
22 6125 and 6126, and thereby failed to support the Constitution and laws of the United States and
23 of this state.

24 COUNT THREE

25 Case No. 09-O-18767
26 Rules of Professional Conduct, rule 4-200(A)
[Illegal Fee]

27 16. Respondent wilfully violated Rules of Professional Conduct, rule 4-200(A), by
28 entering into an agreement for, charging, or collecting an illegal fee, as follows:

1 17. The allegations of Counts One and Two are incorporated by reference.

2 18. By charging Mele \$1,000 in attorney fees and accepting a check for \$500 from Mele
3 for a advanced attorney fees when he was not entitled to practice law, Respondent entered into
4 an agreement for, charging, or collecting an illegal fee.

5 COUNT FOUR

6 Case No. 10-O-09784
7 Rules of Professional Conduct, Rule 1-300(A)
[Aiding the Unauthorized Practice of Law]

8 19. Respondent wilfully violated Rules of Professional Conduct, rule 1-300(A), by aiding
9 a person or entity in the unauthorized practice of law, as follows:

10 20. The allegations of Paragraph 3 are incorporated by reference.

11 21. In or about 2010, one of Lorena Flores's (Flores) creditors filed an action to garnish
12 her wages.

13 22. On or about April 26, 2010, Respondent sent an advertisement to Flores indicating
14 that he could help protect her financial interests and stop the garnishment action.

15 23. After receiving Respondent's April 26, 2010 advertisement, Flores called
16 Respondent's law office. Rodriguez answered the telephone and spoke with Flores. During this
17 telephone conversation, Rodriguez scheduled an appointment for Flores to come in and meet
18 with Rodriguez on May 15, 2010, and told her to bring a money order for \$299 with her to the
19 appointment.

20 24. On or about May 15, 2010, Flores went to Respondent's office and met with
21 Rodriguez. During this initial consultation, Flores met and spoke with Rodriguez only.
22 Respondent was not present at any time during this initial consultation. During this consultation,
23 Rodriguez asked Flores questions about the garnishment, her debts and her finances. After
24 Flores answered Rodriguez's questions, Rodriguez advised Flores that she needed to file a
25 Chapter 7 bankruptcy petition. During this consultation, Rodriguez told Flores that Respondent
26 would provide the necessary legal services for \$1,200 in attorney fees plus \$299 for the filing
27 fee. Based upon Rodriguez's advice and recommendation, Flores agreed to hire Respondent to
28 represent her in her bankruptcy petition. On this date, Flores gave Rodriguez a money order for

1 \$299 and a personal check in the amount of \$200 for attorney fees, with the remaining balance of
2 \$1,000 to be paid in monthly installments.

3 25. On or about June 30, 2010, July 30, 2010, and August 31, 2010, Flores paid
4 Respondent \$300, \$300, and \$400, respectively, in attorney fees.

5 26. Rodriguez's consultation with Flores, assessment of her legal issues, giving of legal
6 advice and recommendation that she file for bankruptcy, acceptance of her as a client on behalf
7 of Respondent, and setting of legal fees, constituted the practice of law.

8 27. By allowing Rodriguez, who was not entitled to practice law, to engage in acts
9 constituting the practice of law, Respondent aided a person or entity in the unauthorized practice
10 of law.

11 COUNT FIVE

12 Case No. 10-O-09784
13 Rules of Professional Conduct, rule 3-700(A)(2)
[Improper Withdrawal from Employment]

14 28. Respondent wilfully violated Rules of Professional Conduct, rule 3-700(A)(2), by
15 failing, upon termination of employment, to take reasonable steps to avoid reasonably
16 foreseeable prejudice to his client, as follows:

17 29. The allegations of Paragraph 3 and Count Four are incorporated by reference.

18 30. At no time did Respondent or any of his employees inform Flores that she needed to
19 complete a course in personal financial management in order to receive a discharge order from
20 the bankruptcy court.

21 31. On or about July 1, 2010, Respondent filed a Chapter 7 bankruptcy petition on behalf
22 of Flores in the United States Bankruptcy Court, Central District of California, Case No. 2:10-
23 bk-37133-BR ("bankruptcy petition").

24 32. On or about July 1, 2010, the bankruptcy court served a notice on Respondent
25 indicating that if a certificate of completion of a course in personal financial management was
26 not filed, Flores's bankruptcy petition would be closed. Respondent received the notice. At no
27 time did Respondent notify Flores that he had received this notice from the court.
28

1 33. At no time did Respondent file with the court a certificate of completion of a personal
2 financial management course on behalf of Flores.

3 34. Effective on July 25, 2010, Respondent was placed on not entitled status and
4 therefore, not entitled to practice law. At all relevant times, Respondent was aware that he was
5 placed on not entitled status effective on July 25, 2010, and not entitled to practice law.

6 35. On or about August 11, 2010, the court served Respondent with notice that the
7 meeting of creditors pursuant to §341(a) of the Bankruptcy Code was continued to September 3,
8 2010 ("341 hearing"). Respondent received the notice.

9 36. Thereafter, in or about August 2010, Respondent's employee, "Vanessa," called
10 Flores and told her that Respondent was going to be on vacation during the time of the
11 September 3, 2010
12 341 hearing, and that Respondent would send another attorney to represent her at the 341
13 hearing.

14 37. At no time prior to the September 3, 2010 341 hearing did Respondent inform Flores
15 that he was placed on not entitled status effective July 25, 2010.

16 38. On or about September 3, 2010, the 341 hearing was held. Flores appeared at the
17 hearing, but no attorney appeared on her behalf. At the 341 hearing, the bankruptcy trustee
18 informed Flores that Respondent was not entitled to practice law. This was Flores's first
19 knowledge that Respondent was not entitled to practice law.

20 39. On or about November 9, 2010, the court closed Flores's bankruptcy petition for
21 failure to file a certificate of completion of a personal financial management course.

22 40. By failing to inform Flores while he was entitled to practice law that she needed to
23 complete a personal financial management course, failing to inform Flores that he was placed on
24 not entitled status, and failing to arrange for another attorney to appear at the 341 hearing on
25 behalf of Flores, Respondent constructively withdrew from his employment with Flores, on July
26 25, 2010.

27 41. Respondent did not inform Flores of his intent to withdraw from representation or
28 take any other steps to avoid reasonably foreseeable prejudice to Flores.

1 42. By failing to inform Flores while he was entitled to practice law that she needed to
2 complete a personal financial management course, failing to inform Flores that he was placed on
3 not entitled status, failing to arrange for another attorney to appear at the 341 hearing on behalf
4 of Flores, and failing to inform Flores of his intent to withdraw from employment, Respondent
5 failed, upon termination of employment, to take reasonable steps to avoid reasonably foreseeable
6 prejudice to his client.

7 COUNT SIX

8 Case No. 10-O-09784
9 Business and Professions Code, section 6068(m)
[Failure to Inform Client of Significant Development]

10 43. Respondent wilfully violated Business and Professions Code, section 6068(m), by
11 failing to keep a client reasonably informed of significant developments in a matter in which
12 Respondent had agreed to provide legal services, as follows:

13 44. The allegations of Paragraph 3 and Counts Four and Five are incorporated by
14 reference.

15 45. At no time prior to the 341 hearing did Respondent inform Flores that he was placed
16 on not entitled status effective on July 25, 2010.

17 46. By failing to inform Flores that he was placed on not entitled status effective on July
18 25, 2010, Respondent failed to keep a client reasonably informed of significant developments in
19 a matter in which Respondent had agreed to provide legal services.

20 COUNT SEVEN

21 Case No. 10-O-09784
22 Rules of Professional Conduct, rule 3-700(D)(2)
[Failure to Refund Unearned Fees]

23 47. Respondent wilfully violated Rules of Professional Conduct, rule 3-700(D)(2), by
24 failing to refund promptly any part of a fee paid in advance that has not been earned, as follows:

25 48. The allegations of Paragraph 3 and Counts Four, Five and Six are incorporated by
26 reference.

27 49. From on or about September 3, 2010, through September 8, 2010, Flores left several
28 telephone messages for Respondent to return her call.

1 50. On or about September 8, 2010, Respondent's employee, "Vanessa," called Flores
2 and told her that Respondent was requesting that Flores come to his office on September 10,
3 2010, to discuss her matter and hiring another attorney. Flores told Vanessa that she would not
4 meet with Respondent because she no longer trusted Respondent or his office.

5 51. On or about September 10, 2011, Flores sent a letter to Respondent requesting a
6 refund of unearned fees and the return of her original file documents. Respondent received the
7 letter.

8 52. On or about September 22, 2010, Respondent sent a letter to Flores acknowledging
9 receipt of her September 10, 2010 letter requesting a refund of unearned fees. In this letter,
10 Respondent asked Flores to come to his office to discuss an accounting of the fees and having
11 another attorney represent her at no additional cost to her. Flores did not respond to this letter.

12 53. Respondent did not provide any legal services of value for the \$1,200 in fees that
13 Flores paid Respondent to represent her in the bankruptcy petition.

14 54. Respondent did not earn any portion of the \$1,200 in fees that Flores paid him to
15 represent her in the bankruptcy petition.

16 55. To date, Respondent has failed to refund to Flores any portion of the \$1,200 in
17 attorney fees that Flores paid him.

18 56. By failing to refund to Flores any portion of the \$1,200 in advanced fees that Flores
19 paid him, Respondent failed to refund promptly any part of a fee paid in advance that has not
20 been earned.

21 COUNT EIGHT

22 Case No. 10-O-09784
23 Rules of Professional Conduct, rule 3-700(D)(1)
[Failure to Release File]

24 57. Respondent wilfully violated Rules of Professional Conduct, rule 3-700(D)(1), by
25 failing to release promptly, upon termination of employment, to the client, at the request of the
26 client, all the client papers and property, as follows:

27 58. The allegations of Paragraph 3 and Counts Four, Five, Six and Seven are incorporated
28 by reference.

1 59. At no time did Respondent release Flores's documents or file to her.

2 60. By not releasing the client file or documents to Flores, Respondent failed to release
3 promptly, upon termination of employment, to the client, at the request of the client, all the client
4 papers and property.

5 COUNT NINE

6 Case No. 10-O-09784
7 Business and Professions Code, section 6106
[Moral Turpitude]

8 61. Respondent wilfully violated Business and Professions Code, section 6106, by
9 committing an act involving moral turpitude, dishonesty or corruption, as follows:

10 62. The allegations of Paragraph 3 and Counts Four, Five, Six, Seven and Eight are
11 incorporated by reference.

12 63. On or about August 13, 2010, Respondent filed a Rule 9.20 Compliance Declaration
13 with the State Bar Court ("9.20 Declaration"). Respondent signed the 9.20 Declaration under
14 penalty of perjury on or about August 11, 2010. In the 9.20 Declaration, Respondent declared
15 under penalty of perjury that: he had notified all of his clients by certified or registered mail,
16 return receipt requested, of his suspension from the practice of law; he had delivered to all clients
17 any papers or property that they were entitled to; and had refunded any unearned fees. At the
18 time Respondent made these statements, the statements were false and Respondent knew or was
19 grossly negligent in not knowing that his statements were false. At the time that Respondent
20 filed the 9.20 Declaration with the State Bar Court, he had not notified Flores by certified or
21 registered mail, return receipt requested, of his suspension from the practice of law; he had not
22 delivered to Flores her file or documents; and had not refunded any unearned fees to Flores.

23 64. By knowingly or grossly negligently signing a false 9.20 Declaration under penalty of
24 perjury and then filing with the State Bar Court, Respondent committed an act involving moral
25 turpitude, dishonesty or corruption.

26 COUNT TEN

27 Case No. 10-O-09784
28 Rules of Professional Conduct, Rule 1-311(B)
[Employment of Disbarred Member]

67. By allowing Rodriguez to render legal consultation or advice to Flores, receive and handle Flores's funds, and engage in activities which constitute the practice of law, Respondent employed, associated professionally with, or aided a person that Respondent knew or reasonably should have known was a *disbarred member to engage in activities which constitute the practice of law*.

COUNT ELEVEN

Case No. 10-O-09784
Rules of Professional Conduct, Rule 1-311(D)
[Failure to Notify Client of Employment of Disbarred Member]

68. Respondent wilfully violated Rules of Professional Conduct, rule 1-311(D), by failing to serve written notice of employment of a person Respondent knows or reasonably should know is a disbarred member of the State Bar, upon each client on whose specific matter such person will work, as follows:

69. The allegations of Paragraph 3 and Counts Four, Five, Six, Seven, Eight, Nine and Ten are incorporated by reference.

70. At no time did Respondent provide written notice to Flores or otherwise inform her that Rodriguez was a disbarred member and was not entitled to practice law.

71. By employing Rodriguez and allowing him to work on Flores's bankruptcy petition without informing Flores in writing of the employment, Respondent failed to serve written notice of employment of a person Respondent knew or reasonably should have known to be a disbarred member of the State Bar, upon a client upon whose specific matter such person will work.

COUNT TWELVE

Case No. 10-O-09784

Rules of Professional Conduct, Rule 1-311(D)

[Failure to Notify State Bar of Employment of Disbarred Member]

72. Respondent wilfully violated Rules of Professional Conduct, rule 1-311(D), by failing to serve upon the State Bar written notice of employment of a person who Respondent knows or reasonably should have known is a disbarred member of the State Bar, as follows:

73. The allegations of Paragraph 3 and Counts Four, Five, Six, Seven, Eight, Nine, Ten and Eleven are incorporated by reference.

74. At no time did Respondent serve the State Bar with written notice that he had employed Rodriguez.

75. By employing Rodriguez without informing the State Bar in writing of the employment, Respondent failed to serve upon the State Bar written notice of employment of a person Respondent knew or reasonably should have known to be a disbarred member of the State Bar.

NOTICE - INACTIVE ENROLLMENT!

YOU ARE HEREBY FURTHER NOTIFIED THAT IF THE STATE BAR COURT FINDS, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 6007(c), THAT YOUR CONDUCT POSES A SUBSTANTIAL THREAT OF HARM TO THE INTERESTS OF YOUR CLIENTS OR TO THE PUBLIC, YOU MAY BE INVOLUNTARILY ENROLLED AS AN INACTIVE MEMBER OF THE STATE BAR. YOUR INACTIVE ENROLLMENT WOULD BE IN ADDITION TO ANY DISCIPLINE RECOMMENDED BY THE COURT.

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NOTICE - COST ASSESSMENT!

**IN THE EVENT THESE PROCEDURES RESULT IN PUBLIC
DISCIPLINE, YOU MAY BE SUBJECT TO THE PAYMENT OF COSTS
INCURRED BY THE STATE BAR IN THE INVESTIGATION, HEARING
AND REVIEW OF THIS MATTER PURSUANT TO BUSINESS AND
PROFESSIONS CODE SECTION 6086.10.**

Respectfully submitted,

THE STATE BAR OF CALIFORNIA
OFFICE OF THE CHIEF TRIAL COUNSEL

DATED: February 21, 2012

By: 
AGUSTIN HERNANDEZ
Deputy Trial Counsel

DECLARATION OF SERVICE BY CERTIFIED MAIL

CASE NUMBER: 09-O-18767, 10-O-09784

I, the undersigned, over the age of eighteen (18) years, whose business address and place of employment is the State Bar of California, 1149 South Hill Street, Los Angeles, California 90015, declare that I am not a party to the within action; that I am readily familiar with the State Bar of California's practice for collection and processing of correspondence for mailing with the United States Postal Service; that in the ordinary course of the State Bar of California's practice, correspondence collected and processed by the State Bar of California would be deposited with the United States Postal Service that same day; that I am aware that on motion of party served, service is presumed invalid if postal cancellation date or postage meter date on the envelope or package is more than one day after date of deposit for mailing contained in the affidavit; and that in accordance with the practice of the State Bar of California for collection and processing of mail, I deposited or placed for collection and mailing in the City and County of Los Angeles, on the date shown below, a true copy of the within

NOTICE OF DISCIPLINARY CHARGES

in a sealed envelope placed for collection and mailing as certified mail, return receipt requested, Article No.: 7196 9008 9111 0443 4939, at Los Angeles, on the date shown below, addressed to:

**STEPHEN ADRIAN RODRIGUEZ
1181 S. Los Robles Ave.
Pasadena, CA 91106**

A courtesy copy of said document was also placed in a sealed envelope and placed for collection and mailing at Los Angeles, California, by regular US mail, on the date shown below, addressed to:

**STEPHEN ADRIAN RODRIGUEZ
1181 S. Los Robles Ave.
Pasadena, CA 91106**

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed at Los Angeles, California, on the date shown below.

DATED: February 21, 2012

Signed: Sandra Reynolds
Sandra Reynolds
Declarant

EXHIBIT O

THE STATE BAR OF CALIFORNIA

Monday, March 9, 2015

ATTORNEY SEARCH

Stephen Allan Rodriguez - #158840

Current Status: Disbarred

This member is prohibited from practicing law in California by order of the California Supreme Court.

See below for more details.

Profile Information

The following information is from the official records of The State Bar of California.

Bar Number:	158840		
Address:	The Law Offices of Rodriguez & Rodriguez 1181 S Los Robles Ave Pasadena, CA 91106	Phone Number:	(626) 229-9080
		Fax Number:	(626) 229-9081
		e-mail:	Not Available
County:	Los Angeles	Undergraduate School:	California St Polytechnic Univ; Pomona CA
District:	District 2		
Sections:	None	Law School:	Univ of West Los Angeles; Los Angeles CA

Status History

Effective Date	Status Change
Present	Disbarred
11/26/2009	Disbarred
5/3/2009	Not Eligible To Practice Law
11/26/2008	Active
5/25/2008	Not Eligible To Practice Law
5/23/2005	Active
4/23/2005	Not Eligible To Practice Law
6/8/1992	Admitted to The State Bar of California

Explanation of member status

Actions Affecting Eligibility to Practice Law

Effective Date	Description	Case Number	Resulting Status
Disciplinary and Related Actions			

Overview of the attorney discipline system.

11/26/2009	Disbarment	04-O-14674	Disbarred
10/16/2009	Vol.inactive(tender of resign.w/charges)	09-Q-16845	Not Eligible To Practice Law
5/3/2009	Ordered inactive	04-O-14647	Not Eligible To Practice Law

1/26/2009	Notice of Disc Charges Filed in SBCt	08-N-14556	
10/7/2008	Notice of Disc Charges Filed in SBCt	08-O-12672	
9/11/2008	Discipline w/actual suspension	07-PM-10444	Not Eligible To Practice Law
5/25/2008	Ordered inactive	07-PM-10444	Not Eligible To Practice Law
4/23/2005	Discipline w/actual suspension	02-O-10727	Not Eligible To Practice Law

Administrative Actions

7/1/2009	Suspended, failed to pay Bar membr. fees	Not Eligible To Practice Law
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Copies of official attorney discipline records are available upon request.

Explanation of common actions

State Bar Court Cases

NOTE: *The State Bar Court began posting public discipline documents online in 2005. The format and pagination of documents posted on this site may vary from the originals in the case file as a result of their translation from the original format into Word and PDF. Copies of additional related documents in a case are available upon request. Only Opinions designated for publication in the State Bar Court Reporter may be cited or relied on as precedent in State Bar Court proceedings. For further information about a case that is displayed here, please refer to the State Bar Court's online docket, which can be found at: <http://apps.statebarcourt.ca.gov/dockets/dockets.aspx>*

DISCLAIMER: *Any posted Notice of Disciplinary Charges, Conviction Transmittal or other initiating document, contains only allegations of professional misconduct. The attorney is presumed to be innocent of any misconduct warranting discipline until the charges have been proven.*

Effective Date	Case Number	Description
11/26/2009	04-O-14674	Decision [PDF] [WORD]
9/11/2008	07-PM-10444	Opinion [PDF]
Pending	08-O-12672	Initiating Document [PDF]
Pending	08-N-14556	Initiating Document [PDF]

California Bar Journal Discipline Summaries

Summaries from the California Bar Journal are based on discipline orders but are not the official records. Not all discipline actions have associated CBJ summaries. Copies of official attorney discipline records are available upon request.

November 26, 2009

STEPHEN ALLAN RODRIGUEZ [158840], 62, of Pasadena was disbarred Nov. 26, 2009, and was ordered to comply with rule 9.20 of the California Rules of Court.

The State Bar Court found that Rodriguez committed 20 acts of professional misconduct in 16 matters.

For about a year and a half, Rodriguez' office manager, Evelyn Oberhuber, was engaged in what State Bar Court Judge Richard Platel called "a course of criminal conduct involving grand theft, forgery and recording false instruments." In October 2006, Oberhuber was charged with 102 felony counts, pleaded guilty to 49 and was sentenced to 10 years in state prison. One of Rodriguez's clients was a victim of Oberhuber's criminal conduct.

As a signatory on Rodriguez's two client trust accounts, Oberhuber wrote checks against insufficient funds totaling more than \$600,000. Rodriguez took responsibility for checks worth about \$60,000 written against the first trust account. However, he said he was unaware that Oberhuber opened a second trust account and said the bank had been negligent. The court, however, said Rodriguez had endorsed several checks written against

that account and he was responsible for supervising his staff. Platel found that he committed acts of moral turpitude by acting with gross negligence in the supervision of client trust accounts.

In a divorce proceeding, Rodriguez filed the petition but did no further work. When a bankruptcy issue arose for the same client, Rodriguez referred the client to Oberhuber and then filed two bankruptcy petitions that were both dismissed. The client intended to acquire title to property located in Yorba Linda so her sister obtained a loan and planned to give the proceeds to the client to acquire the property. The bank informed Oberhuber that the mortgage payoff amount on the Yorba Linda property was \$92,725.56. At Oberhuber's direction, the sister wired \$100,000 to Rodriguez' client trust account.

Oberhuber later told the sister the mortgage on the Yorba Linda property had been paid in full when, in fact, she only paid the mortgage arrearages. Using money from the trust account, she made regular monthly mortgage payments on the mortgage for a total of \$13,056.73. She gave the client checks for \$4,000 and \$10,000 that bounced and later made several payments to the client, from the client trust account, totaling \$30,718.34. Oberhuber eventually wrote a check for more than \$90,000 to the escrow company but it bounced.

Other clients also gave Oberhuber money "for their protection" or their credit card numbers. One client gave her \$8,000 when she told him she'd negotiated a settlement for that amount.

In a criminal case, a woman paid Rodriguez \$40,000 to represent her sister and brother-in-law. When another sister was advised she could post \$100,000 bail to get the sister out of jail, Oberhuber advised her to transfer the funds by wire to the client trust account. Two people provided \$50,000 and the sister sold her house to help raise the money. Rodriguez called the woman several times asking for the \$100,000 and more to handle the case. He said he would file the bond motion. However, bail was denied, Rodriguez never obtained his clients' release and the money was not used for the bond. When the sister asked that the money be returned, Oberhuber wrote two checks for \$100,000 against insufficient funds.

Platel found that Rodriguez failed to maintain client funds in trust, refund unearned fees, supervise his client trust accounts, perform legal services competently or inform clients of significant developments in their cases, and he committed acts of moral turpitude by misappropriating more than \$193,000 in client funds.

Rodriguez was suspended and placed on probation in 2005 and 2008. Platel rejected many of his claims during trial, finding his testimony was not candid. Due to his "gross negligence in the supervision of his office staff and trust accounts," Platel wrote, "numerous clients were harmed." He pointed out that Rodriguez did not avail himself of the opportunity to better handle client funds and "he continues to blame others for his misconduct. This increases the chances that he will continue to offend."

September 11, 2008

STEPHEN ALLAN RODRIGUEZ [#158840], 60, of Los Angeles Probation was revoked, the previous stay of suspension was lifted and he was suspended for one year stayed, placed on three years of probation with an actual six-month suspension and was ordered to complete ethics school and client trust accounting school and comply with rule 9.20. Credit will be given for a period of involuntary inactive enrollment that began May 25, 2008. The order took effect Sept. 11, 2008.

Rodriguez violated the terms of a 2005 discipline order by failing to file quarterly probation reports on time, filing deficient CPA reports and failing to respond to a letter from the bar's probation office.

The underlying discipline was imposed in 2005 for Rodriguez' failure to properly deposit client funds in trust, maintain his trust account, report judicial sanctions, perform legal services competently and refund unearned fees and he violated California law proscribing the disclosure of victim-witness information.

April 23, 2005

STEPHEN ALLAN RODRIGUEZ [#158840], 57, of Los Angeles was suspended for one year, stayed, placed on three years of probation with a 30-day actual suspension and was ordered to take the MPRE within one year. The order took effect April 23, 2005.

Rodriguez stipulated to misconduct in five cases.

He represented a criminal defendant in a preliminary hearing, but when the hearing broke for lunch, Rodriguez never returned. The court issued a bench warrant, sanctioned Rodriguez \$1,500 and relieved him as counsel.

Rodriguez turned over the file to his client's girlfriend, who in turn gave it to the defendant's new attorney. The file contained addresses and phone numbers of the victim and witnesses in the case. Disclosing such information violates California law.

Rodriguez stipulated that he failed to perform legal services competently or refund unearned fees to his client.

He was sanctioned in another case for using bad faith tactics three times during a civil lawsuit. On three occasions, he notified opposing counsel he would seek a temporary restraining order at an ex parte hearing the

next day. He then failed to appear for all three hearings and was sanctioned \$2,380. He did not report the sanctions to the State Bar.

In a drunk driving case, Rodriguez was hired by the defendant's wife to replace the public defender and appear at a sentencing hearing. He did nothing to represent the defendant — he did not contact him, the public defender or the prosecutor, did not review the case file and did not attend the sentencing hearing. He issued a refund check to the client, but it bounced.

Another client who hired Rodriguez to represent her husband in a deportation proceeding deposited two checks into a bank account that turned out to be the personal account of Rodriguez' legal assistant. The money was never placed in a client trust account.

In mitigation, Rodriguez has no prior record of discipline, he cooperated with the bar's investigation and he demonstrated remorse.

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PROOF OF SERVICE OF DOCUMENT

I am over the age of 18 and not a party to this bankruptcy case or adversary proceeding. My business address is:

255 E. Temple Street
Los Angeles, CA 90012

A true and correct copy of the foregoing document entitled (*specify*): **ORDER BARRING STEPHEN ADRIAN RODRIGUEZ FROM FILING ANY INVOLUNTARY PETITIONS IN ANY DIVISION OF THE CENTRAL DISTRICT OF CALIFORNIA AND REFERRING THIS CASE FOR CRIMINAL INVESTIGATION** will be served or was served (a) on the judge in chambers in the form and manner required by LBR 5005-2(d); and (b) in the manner stated below:

1. **TO BE SERVED BY THE COURT VIA NOTICE OF ELECTRONIC FILING (NEF)**: Pursuant to controlling General Orders and LBR, the foregoing document will be served by the court via NEF and hyperlink to the document. On (date) 3/24/2015, I checked the CM/ECF docket for this bankruptcy case or adversary proceeding and determined that the following persons are on the Electronic Mail Notice List to receive NEF transmission at the email addresses stated below:

United States Trustee (LA)
ustregion16.la.ecf@usdoj.gov

☐ Service information continued on attached page

2. **SERVED BY UNITED STATES MAIL:**

On (date) 3/24/2015, I served the following persons and/or entities at the last known addresses in this bankruptcy case or adversary proceeding by placing a true and correct copy thereof in a sealed envelope in the United States mail, first class, postage prepaid, and addressed as follows. Listing the judge here constitutes a declaration that mailing to the judge will be completed no later than 24 hours after the document is filed.

Debtor:

Lidia P Kluft
2037 E 38th
Vernon, CA 90058

Petitioning Creditor:

Stephen A Rodriguez
1181 S Los Robles Ave
Pasadena, CA 91106

United States Attorney's Office
Central District of California
312 North Spring Street, Suite 1200
Los Angeles, CA 90012

The State Bar of California
Office of the Chief Trial Counsel
Intake Unit
845 S. Figueroa St.
Los Angeles, CA 90017--2515

District Attorney's Office
County of Los Angeles
210 West Temple Street, Suite 18000
Los Angeles, CA 90012-3210

☐ Service information continued on attached page

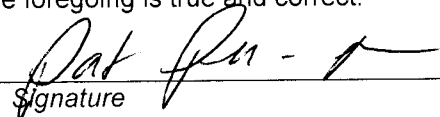
3. SERVED BY PERSONAL DELIVERY, OVERNIGHT MAIL, FACSIMILE TRANSMISSION OR EMAIL (state method for each person or entity served): Pursuant to F.R.Civ.P. 5 and/or controlling LBR, on (date) _____, I served the following persons and/or entities by personal delivery, overnight mail service, or (for those who consented in writing to such service method), by facsimile transmission and/or email as follows. Listing the judge here constitutes a declaration that personal delivery on, or overnight mail to, the judge will be completed no later than 24 hours after the document is filed.

☐ Service information continued on attached page

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct.

3/24/2015
Date

Pat Pennington-Jones
Printed Name


Signature